

# GENOM3CK - A library for GENus cOMputation of plane Complex algebraiC Curves using Knot theory

Mădălina Hodorog<sup>1</sup>, Bernard Mourrain<sup>2</sup>, Josef Schicho<sup>1</sup>

<sup>1</sup>Johann Radon Institute for Computational and Applied Mathematics,  
Doctoral Program "Computational Mathematics"  
Johannes Kepler University Linz, Austria

<sup>2</sup>INRIA Sophia-Antipolis, France

35<sup>th</sup> International Symposium on Symbolic and Algebraic  
Computation, München-Germany  
July 26, 2010

# Table of contents

- 1 Motivation
- 2 Describing the library
  - Algorithm specifications
  - Short history
  - Interface
- 3 Testing the library
  - Setting the input data and parameters
  - Demo (Examples)
- 4 Conclusion

## 1 Motivation

## 2 Describing the library

- Algorithm specifications
- Short history
- Interface

## 3 Testing the library

- Setting the input data and parameters
- Demo (Examples)

## 4 Conclusion

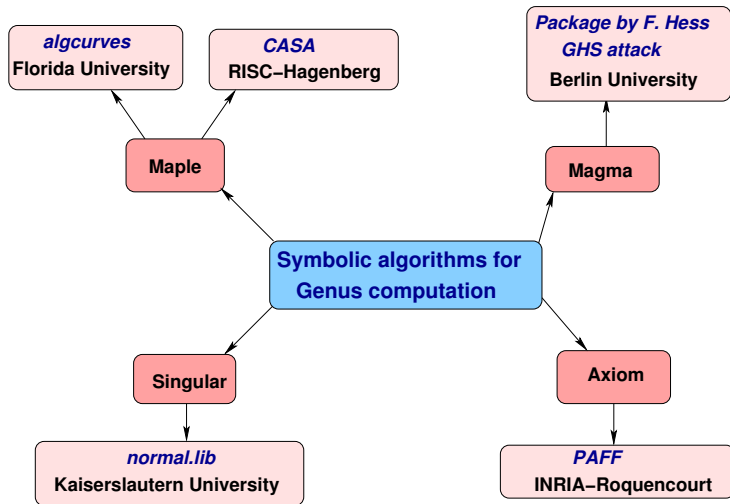
# Motivation

Why a library for genus computation of plane complex algebraic curves using knot theory (GENOM3CK)?



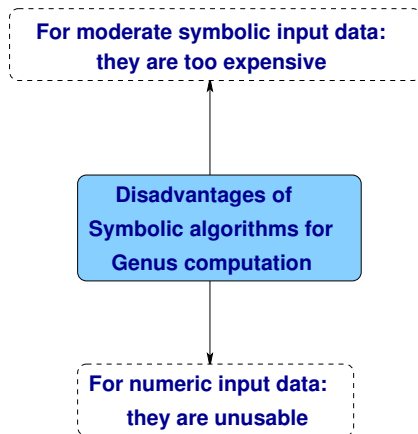
# Motivation

*At present, there exists several...*



# Motivation

*But...*



# Motivation

For instance, in Maple using *algcurves* package...

> with(*algcurves*);  
[*AbelMap*, *Siegel*, *Weierstrassform*, *algfun\_series\_sol*, *differentials*, *genus*,  
*homogeneous*, *homology*, *implicitize*, *integral\_basis*, *is\_hyperelliptic*,  
*j\_invariant*, *monodromy*, *parametrization*, *periodmatrix*, *plot\_knot*,  
*plot\_real\_curve*, *puiseux*, *singularities*]

>  $f := x^2 y + y^4$

$$f := x^2 y + y^4$$

> *genus*( $f$ ,  $x$ ,  $y$ )

-1

>  $g := 1.02 \cdot x^2 y + 1.12 \cdot y^4$

$$g := 1.02 x^2 y + 1.12 y^4$$

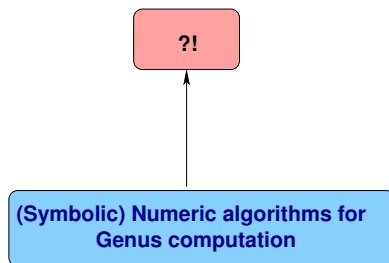
> *genus*( $g$ ,  $x$ ,  $y$ )

Error, (in content/polynom) general case of floats not handled

>

# Motivation

*Thus we need...*

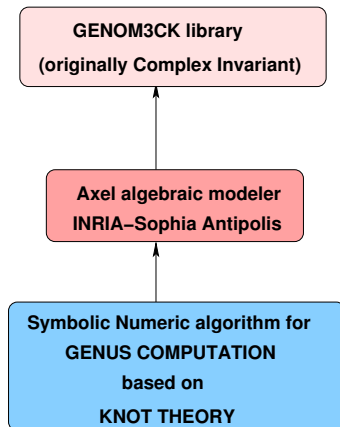




# Motivation

*Hopefully...*

- Symbolic-Numeric techniques for genus computation (initiated by J. Schicho).



- Other numeric method was reported (in the group of R. Sendra).

## 1 Motivation

## 2 Describing the library

- Algorithm specifications
- Short history
- Interface

## 3 Testing the library

- Setting the input data and parameters
- Demo (Examples)

## 4 Conclusion

# Algorithm specifications

- **Input:**

- ▶  $F(x, y) \in \mathbb{C}[x, y]$  squarefree with exact and inexact coefficients;
- ▶  $\mathcal{C} = \{(x, y) \in \mathbb{C}^2 \mid F(x, y) = 0\} \subseteq \mathbb{C}^2 \simeq \mathbb{R}^4$  of degree  $d$ ;
- ▶  $\epsilon \in \mathbb{R}_+^*$  input parameter.

- **Output:**

- ▶  $Sing(\mathcal{C})$  set of singularities of  $\mathcal{C}$ ;
- ▶ A set of invariants of  $\mathcal{C}$ :

- ▶ A set of operations from knot theory on each algebraic link:

- **Method:** shortly presented on the next slides.

# Algorithm specifications

- **Input:**

- ▶  $F(x, y) \in \mathbb{C}[x, y]$  squarefree with exact and inexact coefficients;
- ▶  $\mathcal{C} = \{(x, y) \in \mathbb{C}^2 \mid F(x, y) = 0\} \subseteq \mathbb{C}^2 \simeq \mathbb{R}^4$  of degree  $d$ ;
- ▶  $\epsilon \in \mathbb{R}_+^*$  input parameter.

- **Output:**

- ▶  $Sing(\mathcal{C})$  set of singularities of  $\mathcal{C}$ ;
- ▶ A set of invariants of  $\mathcal{C}$ :
  - ★ algebraic link of each singularity (topological type);
  - ★ Milnor fibration of each singularity;
  - ★ Alexander polynomial of each algebraic link;
  - ★  $\delta(s) \in \mathbb{N}$ ,  $\delta$ -invariant of each singularity  $s \in Sing(\mathcal{C})$ ;
  - ★ *genus*( $\mathcal{C}$ )  $\in \mathbb{Z}$ , *genus* of  $\mathcal{C}$ .
- ▶ A set of operations from knot theory on each algebraic link:
  - ★ diagram (crossings, arcs), type of crossings.

- **Method:** shortly presented on the next slides.

$\mathcal{C} \subseteq \mathbb{R}^4$  with  $Sing(\mathcal{C})$

Move each  $s \in Sing(\mathcal{C})$  in 0

Let 0 singularity of  $\mathcal{C} \subseteq \mathbb{R}^4$

$S_{(0,\epsilon)} \subseteq \mathbb{R}^4$  small sphere

$X = \mathcal{C} \cup S_{(0,\epsilon)} \subseteq \mathbb{R}^4$

$f : S_{(0,\epsilon)} \setminus \{(0,0,0,\epsilon)\} \rightarrow \mathbb{R}^3$

$(a, b, c, d) \mapsto$

$(u = \frac{a}{\epsilon - d}, v = \frac{b}{\epsilon - d}, w = \frac{c}{\epsilon - d})$

$f$  is stereographic projection

GENOM3CK  
(Axel)

Subdivision methods

$\mathcal{C} \subseteq \mathbb{R}^4$  with  $Sing(\mathcal{C})$

Move each  $s \in Sing(\mathcal{C})$  in 0

Let 0 singularity of  $\mathcal{C} \subseteq \mathbb{R}^4$

$\mathcal{C}$  defined by  $F(x, y) \in \mathbb{C}[x, y]$

$S_{(0, \epsilon)} \subseteq \mathbb{R}^4$  small sphere

$X = \mathcal{C} \cup S_{(0, \epsilon)} \subseteq \mathbb{R}^4$

GENOM3CK  
← (Axel)

Subdivision methods

For sufficiently small  $\epsilon$

$f(X) \subseteq \mathbb{R}^3$  differentiable algebraic link

$f(X) = \{(u, v, w) | \operatorname{Re}F(\dots) = \operatorname{Im}F(\dots) = 0\}$

Math  
←

Adapted Milnor's  
research (Our)

$\mathcal{C} \subseteq \mathbb{R}^4$  with  $Sing(\mathcal{C})$

Move each  $s \in Sing(\mathcal{C})$  in 0

Let 0 singularity of  $\mathcal{C} \subseteq \mathbb{R}^4$

$S_{(0,\epsilon)} \subseteq \mathbb{R}^4$  small sphere

$X = \mathcal{C} \cup S_{(0,\epsilon)} \subseteq \mathbb{R}^4$

GENOM3CK  
(Axel)

Subdivision methods



$f(X) \subseteq \mathbb{R}^3$  differentiable algebraic link

Math

Adapted Milnor's  
research (Our)



$\tilde{f}(X) \subseteq \mathbb{R}^3$  piecewise linear algebraic link

Let  $\pi_{f(X)} \subseteq \mathbb{R}^2$  projection of  $\tilde{f}(X)$

GENOM3CK  
(Axel)

Algo for  $\tilde{f}(X)$   
i.e. topology of  $f(X)$

$\mathcal{C} \subseteq \mathbb{R}^4$  with  $Sing(\mathcal{C})$

Move each  $s \in Sing(\mathcal{C})$  in 0

Let 0 singularity of  $\mathcal{C} \subseteq \mathbb{R}^4$

$S_{(0,\epsilon)} \subseteq \mathbb{R}^4$  small sphere

$X = \mathcal{C} \cup S_{(0,\epsilon)} \subseteq \mathbb{R}^4$

GENOM3CK  
(Axel)

Subdivision methods



$f(X) \subseteq \mathbb{R}^3$  differentiable algebraic link

Math

Adapted Milnor's  
research (Our)



$\tilde{f}(X) \subseteq \mathbb{R}^3$  piecewise linear algebraic link

Let  $\pi_{f(X)} \subseteq \mathbb{R}^2$  projection of  $\tilde{f}(X)$

GENOM3CK  
(Axel)

Algo for  $\tilde{f}(X)$   
i.e. topology of  $f(X)$



Alexander polynomial of  $f(X)$   
is a complete invariant of  $f(X)$

GENOM3CK

Computational  
geometry algos (Our)  
Yamamoto's result



$C \subseteq \mathbb{R}^4$  with  $Sing(C)$

Move each  $s \in Sing(C)$  in 0

Let 0 singularity of  $C \subseteq \mathbb{R}^4$

$S_{(0,\epsilon)} \subseteq \mathbb{R}^4$  small sphere

$X = C \cup S_{(0,\epsilon)} \subseteq \mathbb{R}^4$

GENOM3CK  
(Axel)

Subdivision methods



$f(X) \subseteq \mathbb{R}^3$  differentiable algebraic link

Math

Adapted Milnor's  
research (Our)



$\tilde{f}(X) \subseteq \mathbb{R}^3$  piecewise linear algebraic link

Let  $\pi_{f(X)} \subseteq \mathbb{R}^2$  projection of  $\tilde{f}(X)$

GENOM3CK  
(Axel)

Algo for  $\tilde{f}(X)$   
i.e. topology of  $f(X)$



Alexander polynomial of  $f(X)$

GENOM3CK

Computational  
geometry algos (Our)  
Yamamoto's result



$\delta$ -invariant of  $s$

GENOM3CK

Milnor's research

$$\mathcal{C} \subseteq \mathbb{R}^4 \text{ with } \text{Sing}(\mathcal{C})$$

Move each  $s \in \text{Sing}(\mathcal{C})$  in 0

Let 0 singularity of  $\mathcal{C} \subseteq \mathbb{R}^4$

$$S_{(0,\epsilon)} \subseteq \mathbb{R}^4 \text{ small sphere}$$

$$X = \mathcal{C} \cup S_{(0,\epsilon)} \subseteq \mathbb{R}^4$$

GENOM3CK  
(Axel)

Subdivision methods



$f(X) \subseteq \mathbb{R}^3$  differentiable algebraic link

Math

Adapted Milnor's  
research (Our)



$\tilde{f}(X) \subseteq \mathbb{R}^3$  piecewise linear algebraic link

Let  $\pi_{f(X)} \subseteq \mathbb{R}^2$  projection of  $\tilde{f}(X)$

GENOM3CK  
(Axel)

Algo for  $\tilde{f}(X)$   
i.e. topology of  $f(X)$



Alexander polynomial of  $f(X)$

GENOM3CK

Computational  
geometry algos (Our)  
Yamamoto's result



$\delta$ -invariant of  $s$

GENOM3CK

Milnor's research



$$\text{genus}(\mathcal{C}) = \frac{(d-1)(d-2)}{2} - \sum_{s \in \text{Sing}(\mathcal{C})} \delta(s)$$

$C \subseteq \mathbb{R}^4$  with  $Sing(C)$

Move each  $s \in Sing(C)$  in 0

Let 0 singularity of  $C \subseteq \mathbb{R}^4$

$S_{(0,\epsilon)} \subseteq \mathbb{R}^4$  small sphere

$X = C \cup S_{(0,\epsilon)} \subseteq \mathbb{R}^4$

GENOM3CK  
(Axel)

Subdivision methods



$f(X) \subseteq \mathbb{R}^3$  differentiable algebraic link

Math

Adapted Milnor's  
research (Our)



$\tilde{f}(X) \subseteq \mathbb{R}^3$  piecewise linear algebraic link  
 $\tilde{f}(X) = \mathcal{S}_1 \cap \mathcal{S}_2 \cap (\mathcal{S}_1 + \mathcal{S}_2) \cap (\mathcal{S}_1 - \mathcal{S}_2)$

GENOM3CK  
(Axel)

Algo for  $\tilde{f}(X)$   
i.e. topology of  $f(X)$



Alexander polynomial of  $f(X)$

GENOM3CK

Computational  
geometry algos (Our)  
Yamamoto's result



$\delta$ -invariant of  $s$

GENOM3CK

Milnor's research



$$genus(C) = \frac{(d-1)(d-2)}{2} - \sum_{s \in Sing(C)} \delta(s)$$

## Short history: GENOM3CK

is written in Axel

C++, Qt Script for Applications  
(QSA)



what is Axel?

- algebraic geometric modeler
- INRIA, Galaad team (2006)
- <http://axel.inria.fr/>

is written in Mathemagix

C++



what is Mathemagix?

- computer algebra system
- <http://www.mathemagix.org/>

## Short history: GENOM3CK

### uses from Axel

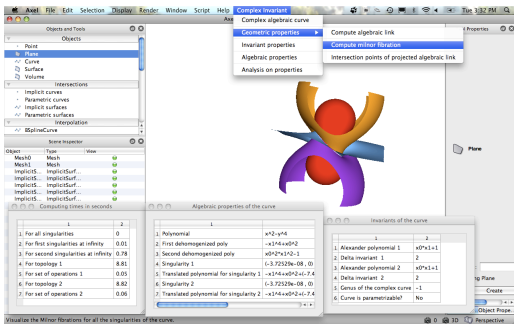
- unique algebraic tools (for visualization of implicit algebraic curves in 3D)
- easy-to-use interface
- plugins that allow extension of the system

### uses from Mathemagix

- subdivision techniques (for computing singularities)
- operations on polynomials, matrices, determinants, etc.

# Interface

- part of Axel<sup>a</sup>;
- main menu is Complex Invariant;
- contains 3 types of properties:
  - geometric;
  - invariant;
  - algebraic.
- contains computing time (at most polynomial).
- Examples in the Demo!



<sup>a</sup>Acknowledgements: Julien Wintz

- 1 Motivation
- 2 Describing the library
  - Algorithm specifications
  - Short history
  - Interface
- 3 Testing the library
  - Setting the input data and parameters
  - Demo (Examples)
- 4 Conclusion

# Setting the input data and parameters

## Input data and parameters:

- $F(x, y) \in \mathbb{C}[x, y]$  defining an input algebraic curve  $C$ ;

## Restrictions!

- Introduce multiplication, power as  $x * y$  and  $x^n$ .
- Introduce  $F(x, y)$  in its expanded form.

Examples in the Demo!



# Setting the input data and parameters

## Input data and parameters:

- $F(x, y) \in \mathbb{C}[x, y]$  defining an input algebraic curve  $C$ ;
- $\epsilon = \frac{n}{d} \in \mathbb{R}_+^*$  with  $n, d \in \mathbb{N}^*$ ;

## Restrictions!

- Introduce multiplication, power as  $x * y$  and  $x^n$ .
- Introduce  $F(x, y)$  in its expanded form.
- Introduce  $\epsilon$  by introducing  $n, d$ .
- Choose  $\epsilon$  small s.t. the algorithm is correct (heuristic methods).

Examples in the Demo!

# Setting the input data and parameters

## Input data and parameters:

- $F(x, y) \in \mathbb{C}[x, y]$  defining an input algebraic curve  $\mathcal{C}$ ;
- $\epsilon = \frac{n}{d} \in \mathbb{R}_+^*$  with  $n, d \in \mathbb{N}^*$ ;
- $B = [-a, a] \times [-b, b] \times [-c, c] \in \mathbb{R}^3$ ,  $a, b, c \in \mathbb{N}^*$ ;

## Restrictions!

- Introduce multiplication, power as  $x * y$  and  $x^n$ .
- Introduce  $F(x, y)$  in its expanded form.
- Introduce  $\epsilon$  by introducing  $n, d$ .
- Choose  $\epsilon$  small s.t. the algorithm is correct (heuristic methods).
- Introduce  $B$  by introducing  $a, b, c$ .
- Choose  $B$  big s.t. it contains all the singularities of  $\mathcal{C}$  (heuristic methods).

Examples in the Demo!

# Summary

- We have a symbolic-numeric algorithm (i.e. **approximate algorithm** ) for plane complex algebraic curves, in the library **GENOM3CK**.  
About GENOM3CK (download, installation, documentation):  
<http://people.ricam.oeaw.ac.at/m.hodorog/software.html>
- ✉ Support: [madalina.hodorog@oeaw.ac.at](mailto:madalina.hodorog@oeaw.ac.at).

## Run GENOM3CK in two ways:

▶ click on the icon of Axel (see output).

▶ run command at terminal (see intermediate computations):

```
~/pathToAxelLinux/build$ ./bin/axel
```

```
~/pathToAxelMacOS/src$ ./Axel.app/Contents/MacOSs/Axel
```

# Demo (Numeric and Symbolic Examples)

Equation	Box
$x^2 - y^2, \epsilon = 1.0$	$[-4, 4, -6, 6, -6, 6]$
$x^2 - y^3, \epsilon = 1.0$	$[-4, 4, -6, 6, -6, 6]$
$x^3 - y^3, \epsilon = 1.0$	$[-4, 4, -6, 6, -6, 6]$
$-x^3 - 1.875xy + y^2, \epsilon = 0.25$	$[-4, 4, -6, 6, -6, 6]$
$1.02x^2y + 1.12y^4, \epsilon = 0.25$	$[-4, 4, -6, 6, -6, 6]$



- 1 Motivation
- 2 Describing the library
  - Algorithm specifications
  - Short history
  - Interface
- 3 Testing the library
  - Setting the input data and parameters
  - Demo (Examples)
- 4 Conclusion

# Conclusion and future work

## ✓ DONE:

- automatization of an approximate algorithm for complex curves in GENOM3CK;

## ✗ TO DO's:

# Conclusion and future work

## ✓ DONE:

- automatization of an approximate algorithm for complex curves in GENOM3CK;

## ✗ TO DO's:

- prove the properties of the approximate algorithm (i.e. convergency, continuity);
- deform the input curve and keep the invariants constant.

# Conclusion and future work

## ✓ DONE:

- automatization of an approximate algorithm for complex curves in GENOM3CK;
- describe algorithm with principles from regularization theory;

## ✗ TO DO's:

- prove the properties of the approximate algorithm (i.e. convergency, continuity);
- deform the input curve and keep the invariants constant.



# Conclusion and future work

## ✓ DONE:

- automatization of an approximate algorithm for complex curves in GENOM3CK;
- describe algorithm with principles from regularization theory;
- integrate symbolic, numeric, graphical capabilities into a single library GENOM3CK (use of Axel);
- provide a natural graphical user interface (use of QSA);
- users can visualize the ongoing computations and the results;

## ✗ TO DO's:

- prove the properties of the approximate algorithm (i.e. convergency, continuity);
- deform the input curve and keep the invariants constant.

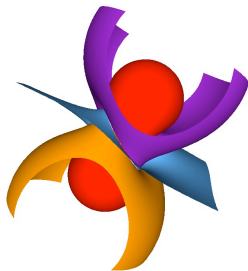
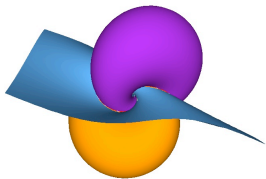
# Conclusion and future work

## ✓ DONE:

- automatization of an approximate algorithm for complex curves in GENOM3CK;
- describe algorithm with principles from regularization theory;
- integrate symbolic, numeric, graphical capabilities into a single library GENOM3CK (use of Axel);
- provide a natural graphical user interface (use of QSA);
- users can visualize the ongoing computations and the results;

## ✗ TO DO's:

- prove the properties of the approximate algorithm (i.e. convergency, continuity);
- deform the input curve and keep the invariants constant.
- include other operations, i.e. from knot theory, algebraic geometry.



*"...in programming mathematical elegance is not a dispensable luxury but a matter of life and death" (E.W. Dijkstra, 1978).*

Thank you for your attention.

