

Summation/Integration/Differential/Difference Equations Workshop 2009

RISC, J. Kepler University

A Refined Difference Field Theory and Optimal Nested Sum Representations

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19. July 2009

Problem description

A **sequence domain**:

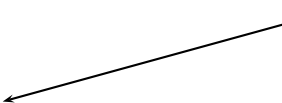
$$(X, \text{ev}, \mathfrak{d})$$

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set of terms

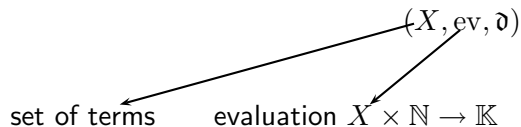


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► $X = \mathbb{K}(x)$.

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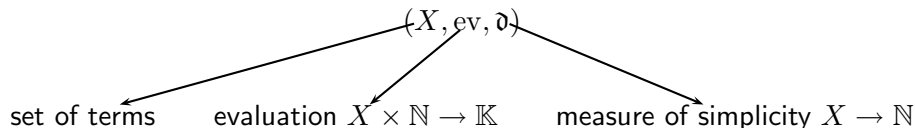
Example

- ▶ $X = \mathbb{K}(x)$.
- ▶ For $f = \frac{p}{q} \in \mathbb{K}(x)$ with $\text{gcd}(p, q) = 1$,

$$\text{ev}(f, k) = \begin{cases} 0 & \text{if } q(k) = 0 \\ \frac{p(k)}{q(k)} & \text{if } q(k) \neq 0; \end{cases}$$

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▶

$$\mathfrak{d}(f) = \begin{cases} 0 & \text{if } f \in \mathbb{K} \\ 1 & \text{if } f \in \mathbb{K}(x) \setminus \mathbb{K} \end{cases}$$

Sum sequence domain

Given $(X, \text{ev}, \mathfrak{d})$, we get the **sum sequence domain** $(\text{Sum}(X), \text{ev}, \mathfrak{d})$.

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Example. Elements from $\text{Sum}(\mathbb{Q}(x))$ are:

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$$\text{Sum}\left(1, \frac{1}{x} \otimes \left(\text{Sum}\left(1, \frac{1}{x} \otimes \left(\text{Sum}\left(1, \frac{1}{x}\right)^2 \oplus \text{Sum}\left(1, \frac{1}{x^2}\right)\right)\right) \oplus \text{Sum}\left(1, \text{Sum}\left(1, \frac{1}{x}\right)\right)\right)\right)$$

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$$\overbrace{\text{ev}(\text{Sum}(7, \frac{1}{x}), k)}^{\text{H}} =$$

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$$\overbrace{\text{ev}(\text{Sum}(7, \frac{1}{x}), k)}^{\text{H}} = \sum_{i=7}^k \text{ev}(\frac{1}{x}, i) = \sum_{i=7}^k \frac{1}{i}$$

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$$\text{ev}(\overbrace{\text{Sum}(7, \frac{1}{x})}^H, k) = \sum_{i=7}^k \text{ev}(\frac{1}{x}, i) = \sum_{i=7}^k \frac{1}{i} \quad \mathfrak{d}(H) = 1 + \mathfrak{d}(\frac{1}{x}) = 2$$

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Example.

$$\begin{aligned} & \overbrace{\text{ev}(\text{Sum} \left(1, \frac{1}{x} \otimes \left(\text{Sum} \left(1, \frac{1}{x} \otimes \left(\text{Sum} \left(1, \frac{1}{x} \right)^2 \oplus \text{Sum} \left(1, \frac{1}{x^2} \right) \right) \oplus \text{Sum} \left(1, \text{Sum} \left(1, \frac{1}{x} \right) \right) \right) \right), k)}^A \\ &= \sum_{r=1}^k \frac{\sum_{l=1}^r \frac{\left(\sum_{i=1}^l \frac{1}{i} \right)^2 + \sum_{i=1}^l \frac{1}{i^2}}{l} + \sum_{l=1}^r \frac{\sum_{i=1}^l \frac{1}{i}}{l}}{r} \end{aligned}$$

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Example.

$$\begin{aligned} & \overbrace{\text{ev}(\text{Sum}_1(1, \frac{1}{x} \otimes (\text{Sum}_2(1, \frac{1}{x} \otimes (\text{Sum}_3(1, \frac{1}{x})^2 \oplus \text{Sum}(1, \frac{1}{x^2}))) \oplus \text{Sum}(1, \text{Sum}(1, \frac{1}{x}))))}, k) \\ & = \sum_{r=1}^k \frac{\sum_{l=1}^r \frac{\left(\sum_{i=1}^l \frac{1}{i}\right)^2 + \sum_{i=1}^l \frac{1}{i^2} + \sum_{i=1}^l \frac{1}{i}}{l}}{r} \quad \mathfrak{d}(A) = 4 \end{aligned}$$

The **optimal depth** of $A \in \text{Sum}(X)$ is

$$\min \left\{ \text{d}(B) \mid B \in \text{Sum}(X) \text{ such that} \right. \\ \left. \text{ev}(A, k) = \text{ev}(B, k) \text{ for some } k \text{ on} \right\}.$$

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Problem: Depth Optimal Simplification.

Given $A \in \text{Sum}(X)$;
find $B \in \text{Sum}(X)$ and $\lambda \in \mathbb{N}$ such that

$$\text{ev}(A, k) = \text{ev}(B, k) \text{ for all } k \geq \lambda$$

and such that $\mathfrak{d}(B)$ is the **optimal depth** of A .

The **optimal depth** of $A \in \text{Sum}(X)$ is

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Running example. Given

$$A = \text{Sum} \left(1, \frac{1}{x} \otimes \left(\text{Sum} \left(1, \frac{1}{x} \otimes \left(\text{Sum} \left(1, \frac{1}{x} \right)^2 \oplus \text{Sum} \left(1, \frac{1}{x^2} \right) \right) \oplus \text{Sum} \left(1, \text{Sum} \left(1, \frac{1}{x} \right) \right) \right) \right)$$

with

$$\text{ev}(A, k) = \frac{\sum_{l=1}^k \frac{\left(\sum_{i=1}^l \frac{1}{i} \right)^2 + \sum_{i=1}^l \frac{1}{i^2} + \sum_{i=1}^l \frac{1}{i}}{l}}{r}$$

Find $B \in \text{Sum}(\mathbb{Q}(x))$ and $\lambda \in \mathbb{N}$ such that

$$\text{ev}(A, k) = \text{ev}(B, k) \quad \forall k \geq \lambda$$

and such that the depth of B is optimal.

Karr's $\Pi\Sigma^*$ -fields

- ▶ A **difference field** (\mathbb{F}, σ) is a field \mathbb{F} plus a field automorphism σ .

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Example. $(\mathbb{Q}(x), \sigma)$ with the shift operator $\sigma(f) = f(x + 1)$.

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Example. $(\mathbb{Q}(x), \sigma)$ with the shift operator $\sigma(f) = f(x + 1)$.

- ▶ The **constant field** is

$$\text{const}_\sigma \mathbb{F} = \{k \in \mathbb{F} \mid \sigma(k) = k\}.$$

Example. $\text{const}_\sigma \mathbb{Q}(x) = \mathbb{Q}$

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- ▶ A difference field (\mathbb{E}, σ') is a difference field extension of (\mathbb{F}, σ) if

$$\mathbb{F} \leq \mathbb{E} \quad \text{and} \quad \sigma'|_{\mathbb{F}} = \sigma.$$

Note: From now on, we do not distinguish σ and σ' any longer

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- ▶ **Lemma.** Let (\mathbb{F}, σ) be a DF, and $a \in \mathbb{F}^*$ and $b \in \mathbb{F}$. Let t be **transcendental over \mathbb{F}** ($\mathbb{F}(t)$ is a rational function field). Then there is a unique DF-extension $(\mathbb{F}(t), \sigma)$ of (\mathbb{F}, σ) such that

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Then there is a unique DF-extension $(\mathbb{F}(t), \sigma)$ of (\mathbb{F}, σ) such that

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Example. There is exactly one DF-extension $(\mathbb{Q}(x), \sigma)$ of (\mathbb{Q}, σ) with $\sigma(x) = x + 1$.

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- ▶ $(\mathbb{F}(t_1) \dots (t_e), \sigma)$ is a **(nested) $\Pi\Sigma^*$ -extension** (resp. **Π -extension, Σ^* -extension**) of (\mathbb{F}, σ) if it is a tower of such extensions.

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- ▶ It is a **$\Pi\Sigma^*$ -field** if $\text{const}_{\sigma}\mathbb{F} = \mathbb{F}$.

SUMMARY Let $(\mathbb{F}(t), \sigma)$ be a DF-extension of (\mathbb{F}, σ) with

$$\sigma(t) = t + f \quad \text{with } f \in \mathbb{F}.$$

Then this is a Σ^* -extension

$$\Leftrightarrow t \text{ is transcendental over } \mathbb{F} \text{ and } \text{const}_\sigma \mathbb{F}(t) = \text{const}_\sigma \mathbb{F}$$

Theorem (Karr 81) Let $(\mathbb{F}(t), \sigma)$ be a DF-extension of (\mathbb{F}, σ) with

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Karr's summation algorithm solves (among others) the telescoping problem:

Given a $\Pi\Sigma^*$ -field (\mathbb{F}, σ) over \mathbb{K} and $f \in \mathbb{F}$.

Compute, if possible, a $g \in \mathbb{F}$ such that

$$\sigma(g) = g + f.$$

Start with the $\Pi\Sigma^*$ -field $(\mathbb{Q}(x), \sigma)$ over \mathbb{Q} with $\sigma(x) = x + 1$.

$$A(k) = \sum_{r=1}^k \frac{\sum_{l=1}^r \frac{\left(\sum_{i=1}^l \frac{1}{i}\right)^2 + \sum_{i=1}^l \frac{1}{i^2}}{l} + \sum_{l=1}^r \frac{\boxed{\sum_{i=1}^l \frac{1}{i}}}{l}}{r}$$

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$$\nexists g \in \mathbb{Q}(x) : \sigma(g) = g + \frac{1}{x+1}.$$

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Note:

$$\sum_{i=1}^{l+1} \frac{1}{i} = \sum_{i=1}^l \frac{1}{i} + \frac{1}{l+1}$$

$$A(k) = \sum_{r=1}^k \frac{\sum_{l=1}^r \frac{\overbrace{\left(\sum_{i=1}^l \frac{1}{i}\right)^2}^{h^2} + \sum_{i=1}^l \frac{1}{i^2}}{l}}{r} + \boxed{\sum_{l=1}^r \frac{\overbrace{\sum_{i=1}^l \frac{1}{i}}^{\frac{h}{x}}}{l}}$$

2 By Karr or Sigma:

$$\exists g \in \mathbb{Q}(x)(h) : \sigma(g) = g + \frac{\sigma(h)}{x+1}.$$

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$$A(k) = \sum_{r=1}^k \frac{\sum_{l=1}^r \frac{\overbrace{\left(\sum_{i=1}^l \frac{1}{i}\right)^2}^{h^2} + \sum_{i=1}^l \frac{1}{i^2}}{l}}{r} + \sum_{l=1}^r \frac{\overbrace{\sum_{i=1}^l \frac{1}{i}}^{\frac{h}{x}}}{l}$$

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$$\nexists g \in \mathbb{Q}(x)(h) : \sigma(g) = g + \frac{\sigma(h)}{x+1}.$$

The DF-extension $(\mathbb{Q}(x)(h)(s), \sigma)$ of $(\mathbb{Q}(x)(h), \sigma)$ with

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is a Σ^* -extension.

$$\sum_{l=1}^{r+1} \frac{\sum_{i=1}^l \frac{1}{i}}{l} = \sum_{l=1}^r \frac{\sum_{i=1}^l \frac{1}{i}}{l} + \frac{\sum_{i=1}^{r+1} \frac{1}{i}}{r+1}$$

$$A(k) = \sum_{r=1}^k \frac{\sum_{l=1}^r \frac{\overbrace{\left(\sum_{i=1}^l \frac{1}{i}\right)^2}^{h^2} + \boxed{\sum_{i=1}^l \frac{1}{i^2}}}{l}}{r} + \sum_{l=1}^r \frac{\overbrace{\sum_{i=1}^l \frac{1}{i}}^s}{l}$$

3 Given the telescoping problem

$$\sigma(g) = g + \frac{1}{(x+1)^2},$$

Sigma computes the solution

$$g = 2s - h^2 \in \mathbb{Q}(x)(h)(s).$$

$$A(k) = \sum_{r=1}^k \frac{\sum_{l=1}^r \frac{\overbrace{\left(\sum_{i=1}^l \frac{1}{i}\right)^2}^{h^2} + \boxed{\sum_{i=1}^l \frac{1}{i^2}} + \overbrace{\sum_{i=1}^l \frac{1}{i}}^s}{l}}{r} + \sum_{l=1}^r \frac{\sum_{i=1}^l \frac{1}{i}}{l}$$

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Note:

$$\sum_{i=1}^l \frac{1}{i^2} = 2 \sum_{j=1}^l \frac{\sum_{i=1}^j \frac{1}{i}}{j} - \left(\sum_{i=1}^l \frac{1}{i}\right)^2$$

$$A(k) = \sum_{r=1}^k \underbrace{\left(\sum_{l=1}^r \frac{\overbrace{\left(\sum_{i=1}^l \frac{1}{i} \right)^2 + \sum_{i=1}^l \frac{1}{i^2}}^{\frac{2s}{x}}}{l} \right)}_r + \sum_{l=1}^r \frac{\overbrace{\sum_{i=1}^l \frac{1}{i}}^s}{l}$$

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SUMMARY $A(k)$ is represented by a in the $\Pi\Sigma^*$ -field $(\mathbb{Q}(x)(h)(s)(t)(a), \sigma)$

$$\forall k \in \mathbb{Q} \quad \sigma(k) = k$$

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a

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||

$$\sum_{r=1}^n \frac{2 \sum_{i=1}^r \frac{\sum_{j=1}^i \frac{1}{j}}{i} + \sum_{l=1}^r \frac{\sum_{j=1}^l \frac{1}{j}}{l}}{r}$$

depth = 5

- ▶ For a given $\Pi\Sigma^*$ -field (\mathbb{F}, σ) the **depth function**

$$\delta : \mathbb{F} \rightarrow \mathbb{N}$$

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For any $f \in \mathbb{Q}(k, h, s, t, a)$,

$$\delta(f) = \max(\{\delta(x) \mid x \in \{k, h, s, t, a\} \text{ occurs in } f\} \cup \{0\})$$

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The extension depth of the Σ^* -extension $(\mathbb{Q}(x)(h)(s)(t)(a), \sigma)$ of $(\mathbb{Q}(x)(h)(s), \sigma)$ is $\max(\delta(t), \delta(a)) = 5$.

Theorem (Karr 81) Let $(\mathbb{F}(t), \sigma)$ be a DF-extension of (\mathbb{F}, σ) with

$$\sigma(t) = t + f \quad \text{with } f \in \mathbb{F}.$$

This is a Σ^* -extension iff

$\nexists g \in \mathbb{F}$:

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- ▶ Note: A Σ^δ -extension is a Σ^* -extension.
- ▶ A **$\Pi\Sigma^\delta$ -field** is a tower of Π - or Σ^δ -extensions over the constant field.

Sigma solves the following problem:

Given a $\Pi\Sigma^\delta$ -field (\mathbb{F}, σ) over \mathbb{K} ; $f \in \mathbb{F}$.

Compute, if possible, a Σ^δ -extension (\mathbb{E}, σ) of (\mathbb{F}, σ) with extension depth $\leq \delta(f)$ and $g \in \mathbb{E}$ such that

$$\sigma(g) = g + f.$$

Start with the $\Pi\Sigma^*$ -field $(\mathbb{Q}(x), \sigma)$ over \mathbb{Q} with $\sigma(x) = x + 1$ and $\delta(x) = 1$.

$$A(k) = \sum_{r=1}^k \frac{\sum_{l=1}^r \frac{\left(\sum_{i=1}^l \frac{1}{i}\right)^2 + \sum_{i=1}^l \frac{1}{i^2}}{l} + \sum_{l=1}^r \frac{\boxed{\sum_{i=1}^l \frac{1}{i}}}{l}}{r}$$

1 Like above:

$$\nexists g \in \mathbb{Q}(x) : \sigma(g) = g + \frac{1}{x+1}.$$

Note: there is no Σ^* -extension of $(\mathbb{Q}(x), \sigma)$ with extension depth 1.

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h

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Note: there is no Σ^* -extension of $(\mathbb{Q}(x), \sigma)$ with extension depth 1.
Hence the DF-extension $(\mathbb{Q}(x)(h), \sigma)$ of $(\mathbb{Q}(x), \sigma)$ with

$$\sigma(h) = h + \frac{1}{x+1}, \quad \delta(h) = 2$$

is a Σ^δ -extension.

$$A(k) = \sum_{r=1}^k \frac{\sum_{l=1}^r \frac{\overbrace{\left(\sum_{i=1}^l \frac{1}{i}\right)^2}^{h^2} + \sum_{i=1}^l \frac{1}{i^2}}{l} + \boxed{\sum_{l=1}^r \frac{\overbrace{\sum_{i=1}^l \frac{1}{i}}^{\frac{h}{x}}}{l}}}{r}$$

2 Given the telescoping problem

$$\sigma(g) - g = \frac{\sigma(h)}{x+1},$$

$$A(k) = \sum_{r=1}^k \frac{\sum_{l=1}^r \frac{\overbrace{\left(\sum_{i=1}^l \frac{1}{i}\right)^2}^{h^2} + \sum_{i=1}^l \frac{1}{i^2}}{l} + \boxed{\sum_{l=1}^r \frac{\overbrace{\sum_{i=1}^l \frac{1}{i}}^{\frac{h}{x}}}{l}}}{r}$$

2 Given the telescoping problem

$$\sigma(g) - g = \frac{\sigma(h)}{x+1},$$

Sigma finds the Σ^δ -extension h_2 over $\mathbb{Q}(x)(h)$ with

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together with the solution

$$g = \frac{1}{2}(h^2 + h_2)$$

$$A(k) = \sum_{r=1}^k \frac{\sum_{l=1}^r \frac{\overbrace{\left(\sum_{i=1}^l \frac{1}{i}\right)^2}^{h^2} + \boxed{\sum_{i=1}^l \frac{1}{i^2}}}{l}}{r} + \sum_{l=1}^r \frac{\overbrace{\sum_{i=1}^l \frac{1}{i}}^{\frac{1}{2}(h^2+h_2)}}{l}}$$

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$$g = h_2$$

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4 Given the telescoping problem

$$\sigma(g) - g = \frac{\sigma(h^2 + h_2)}{x + 1}$$

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Sigma computes the Σ^δ -extension h_3 over $(\mathbb{Q}(x)(h)(h_2), \sigma)$ with

$$\sigma(h_3) = h_3 + \frac{1}{(x+1)^3}, \quad \delta(h_3) = 2$$

$$A(k) = \sum_{r=1}^k \frac{\frac{1}{3}(h^3 + 3hh_2 + 2h_3)}{\sum_{l=1}^r \frac{\left(\sum_{i=1}^l \frac{1}{i} \right)^2 + \sum_{i=1}^l \frac{1}{i^2}}{l} + \sum_{l=1}^r \frac{\frac{1}{2}(h^2 + h_2)}{\sum_{i=1}^l \frac{1}{i}}}$$

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$$\sigma(h_3) = h_3 + \frac{1}{(x+1)^3}, \quad \delta(h_3) = 2$$

together with solution $g = \frac{1}{3}(h^3 + 3hh_2 + 2h_3)$

$$A(k) = \sum_{r=1}^k \frac{\sum_{l=1}^r \frac{\left(\sum_{i=1}^l \frac{1}{i}\right)^2 + \sum_{i=1}^l \frac{1}{i^2}}{l} + \sum_{l=1}^r \frac{\sum_{i=1}^l \frac{1}{i}}{l}}{r}$$

a

- 5 Finally: Sigma finds the Σ^δ -extension h_4 over $(\mathbb{Q}(x)(h)(h_2)(h_3), \sigma)$ with

$$\sigma(h_4) = h_4 + \frac{1}{(x+1)^4}, \quad \delta(h_4) = 2$$

and represents $A(k)$ by

$$a = \frac{1}{12}(h^4 + 2h^3 + 6(h+1)h_2h + 3h_2^2 + (8h+4)h_3 + 6h_4)$$

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SUMMARY $A(k)$ is represented in the $\Pi\Sigma^\delta$ -field $(\mathbb{Q}(x)(h)(h_2)(h_3)(h_4), \sigma)$:

$$\forall k \in \mathbb{Q} \quad \sigma(k) = k$$

$$\forall k \in \mathbb{Q} \quad \delta(k) = 0$$

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$$\frac{1}{12}(h^4 + 2h^3 + 6(h+1)h_2h + 3h_2^2 + (8h+4)h_3 + 6h_4)$$

$$A(k) = \sum_{r=1}^k \frac{\sum_{l=1}^r \left(\frac{\sum_{i=1}^l \frac{1}{i} \right)^2 + \sum_{i=1}^l \frac{1}{i^2} + \sum_{l=1}^r \frac{\sum_{i=1}^l \frac{1}{i}}{l}}{r}$$

||

ev(B, k)

with

$$B = \frac{1}{12} \left(\text{Sum}\left(1, \frac{1}{x}\right)^4 + 2\text{Sum}\left(1, \frac{1}{x}\right)^3 + 6\left(\text{Sum}\left(1, \frac{1}{x}\right) + 1\right)\text{Sum}\left(1, \frac{1}{x^2}\right)\text{Sum}\left(1, \frac{1}{x}\right) \right. \\ \left. + 3\text{Sum}\left(1, \frac{1}{x^2}\right)^2 + (8\text{Sum}\left(1, \frac{1}{x}\right) + 4)\text{Sum}\left(1, \frac{1}{x^3}\right) + 6\text{Sum}\left(1, \frac{1}{x^4}\right) \right)$$

B has the **optimal depth 2**

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 - ▶ $X = \mathbb{Q}(x)[f]$ with $\text{ev}(x, k) = k$ and $\text{ev}(f, k) = k!$
 - ▶ ...

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1. Any element $A \in \text{Sum}(X)$ can be represented in a depth-optimal $\Pi\Sigma^*$ -field.
2. **Any such representation** delivers a solution for our **Problem: Depth Optimal Simplification.**

Given $A \in \text{Sum}(X)$;

find $B \in \text{Sum}(X)$ and $\lambda \in \mathbb{N}$ such that

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3. We exploit the following property of a depth-optimal $\Pi\Sigma^*$ -field (\mathbb{F}, σ) :

$$\forall f, g \in \mathbb{F} : \quad \sigma(g) - g = f \quad \Rightarrow \quad \delta(g) \leq \delta(f) + 1$$

MAIN RESULTS:

1. Any element $A \in \text{Sum}(X)$ can be represented in a depth-optimal $\Pi\Sigma^*$ -field.
2. **Any such representation** delivers a solution for our **Problem: Depth Optimal Simplification.**

Given $A \in \text{Sum}(X)$;

find $B \in \text{Sum}(X)$ and $\lambda \in \mathbb{N}$ such that

$$\text{ev}(A, k) = \text{ev}(B, k) \text{ for all } k \geq \lambda$$

and such that $\delta(B)$ is the optimal depth of A .

3. We exploit the following property of a depth-optimal $\Pi\Sigma^*$ -field (\mathbb{F}, σ) :

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The notion **depth-optimal $\Pi\Sigma^*$ -extension** makes sense ☺