

**EXPLICIT MODAL LOGICS**  
**of single-conclusion proof systems**

Vladimir Krupski (MSU)

Joint work with

Sergei Artemov (CUNY) and Nikolai Krupski (MSU)

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**Formal proof theory  $T$**  – a theory in which the human arguments about proofs and provability should be formalized.

**Requirements:**

- encodings for formulas, proofs and programs
- $Provable(x)$  – “ $x$  is provable”
- $Proof(x, y)$  – “ $x$  is a proof of  $y$ ”

**Suitable candidates:**  $T = PA, ZF, \dots$

But all of them are **VERY UNFRIENDLY** in this role:  
axioms and rules say nothing about proofs and provability.

**Improvements** – proof theoretical interfaces for  $T$ :

$Provable$  — modal provability logics (**GL/S4**)

$Proof$  — logics of proofs (**FPL/LP**)

## Verification of decision procedures.

$$Decide(\ulcorner \varphi \urcorner) \begin{cases} \longrightarrow \text{yes } (\varphi \text{ is valid}) \\ \longrightarrow \text{fail} \end{cases}$$

“Private” verification (for oneself):

$$\text{establish } Decide(\ulcorner \varphi \urcorner) = \text{yes} \Rightarrow Provable(\ulcorner \varphi \urcorner).$$

“Public” verification:

$$\text{construct } \boxed{t} \text{ s.t. } Decide(\ulcorner \varphi \urcorner) = \text{yes} \Rightarrow Proof(t, \ulcorner \varphi \urcorner),$$

$$\boxed{\text{distribute } t \text{ + trusted } ProofChecker().}$$

## Core proof logic language:

$p_0, p_1, \dots$  – proof variables  
 $!^1, \times^2$  – operations on proofs }  $\mapsto \mathbf{Tm}$

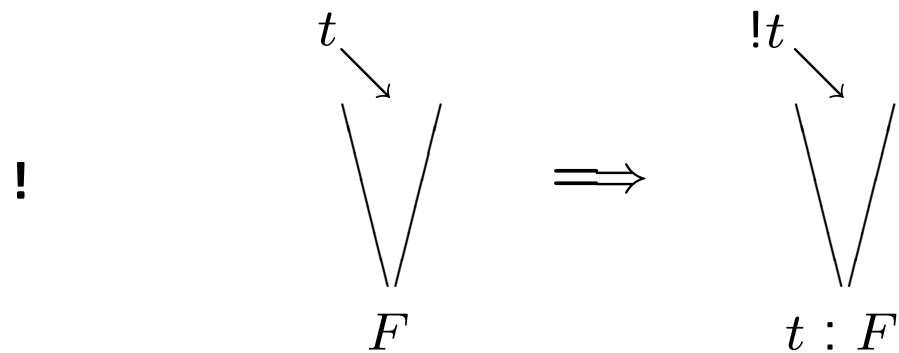
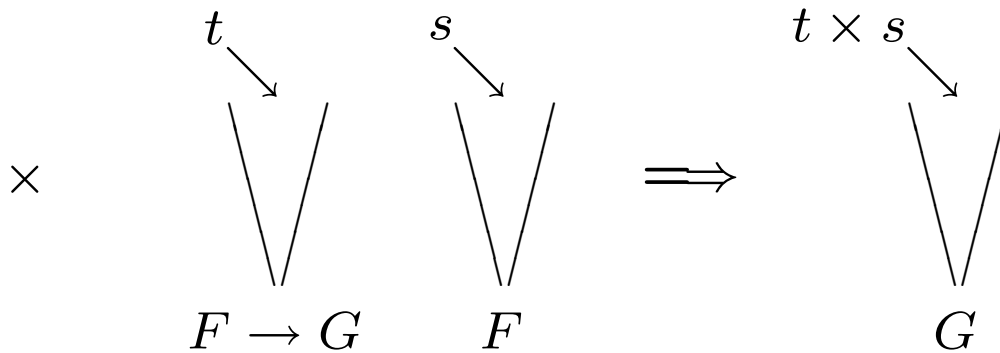
$S_0, S_1, \dots$  – sentence variables  
 $\neg, \vee, \wedge, \rightarrow, (- : -)$  }  $\mapsto \mathbf{Fm}$

$$\frac{t \in \mathbf{Tm}, \quad F \in \mathbf{Fm}}{(t : F) \in \mathbf{Fm}}$$

## Informal semantics:

$t : F$  – the arithmetical statement “ $t$  proves  $F$ ”,

$\times, !$  – act on proof codes:



Single-valued proof predicates – reflect the external derivations:

“ $x$  is a code of a derivation and  
 $y$  is the code of its last formula”

$p:F \wedge p:G \Rightarrow F = G$       How to formalize this without “=” ?

$t_1:F_1 \wedge \dots \wedge t_n:F_n \longmapsto S := \{ t_i = t_j \Rightarrow F_i = F_j \mid 1 \leq i, j \leq n \}$

Def: A unifier  $\sigma$  of  $S$  is a substitution s.t.  $t_i\sigma \neq t_j\sigma$  or  $F_i\sigma \equiv F_j\sigma$  holds for every  $i, j$ .

Def:  $A = B \pmod{S}$  iff  $A\sigma \equiv B\sigma$  for every unifier  $\sigma$  of  $S$ .

Lemma: *The relation  $A = B \pmod{S}$  is decidable.*

Unification axioms:

$t_1:F_1 \wedge \dots \wedge t_n:F_n \rightarrow (A \leftrightarrow B)$  when  $A = B \pmod{S}$ .

**System FLP** (Single-conclusion proof logic)

A0. Propositional axioms and rules

A1.  $t:(F \rightarrow G) \rightarrow (s:F \rightarrow ts:G)$

A2.  $t:F \rightarrow F$

A3.  $t:F \rightarrow !t:(t:F)$

A4. Unification axioms

**Theorem 1:** **FLP** is sound and complete w.r. to arithmetical provability interpretations based on single-valued proof predicates.

**Theorem 2:** **FLP** is decidable.

**Theorem 3:** The rule with a scheme  $\frac{F_1, \dots, F_n}{F}$  is **PA**-admissible

iff **FLP**  $\vdash F_1 \wedge \dots \wedge F_n \rightarrow F$ .

Moreover, all the operations on **PA**-derivations induced by admissible rules of this kind can be represented by proof terms (Lifting Lemma).

## Language extension by references

**Ex:**  $\text{goal}(t)$  such that  $t : F \Rightarrow \text{goal}(t) = F \quad \forall t, F$

Axiom scheme:  $t : F \rightarrow t : \text{goal}(t)$

**NB:**  $\text{goal}$  cannot be a constant function symbol here:

$(A \wedge B)$  and  $\text{goal}(t)$  must be unifiable, otherwise

$t : (A \wedge B), t : \text{goal}(t) \vdash \perp$  (from Unification axiom).

So,  $\vdash \neg t : (A \wedge B)$ . The same with  $\neg, \vee, \rightarrow, :$ .

$\text{goal}()$  is SO variable, or reference

We use more powerful unification algorithm that can deal with SO variables. The set of all Unification axioms is still decidable.



Example with pattern matching:

$\text{refl}(t)$  such that  $t : (s : F) \Rightarrow \text{refl}(t) = s \quad \forall t, s, F$

Axiom scheme:

$$t : \underbrace{(s : F)}_{\varphi(s)} \rightarrow t : (\text{refl}(t) : F)$$

Here  $\varphi(x)$  is a pattern,  $x$  is a metavariable.

$t \mapsto G := \text{goal}(t) \mapsto \text{match } G \text{ with } \varphi(x); \text{return } x.$

General case:

$\mathbf{f}(t)$  such that  $t : \varphi(\dots, Y, \dots) \Rightarrow \mathbf{f}(t) = Y;$

$\varphi = F_0 \wedge p_1 : F_1 \wedge \dots \wedge p_n : F_n$  where  $F_i = F_i(p_1, \dots, p_n; S_1, \dots, S_m).$

System  $\mathbf{FLP}_{ref} = \mathbf{FLP} +$  (all references)

The scope of Unification axioms (A4) now includes references. The semantics of  $A = B \text{ (mod } S)$  relation involves **Second Order unification**, but in restricted form which still remains decidable.

*Theorems 1',2',3'.  $\mathbf{FLP}_{ref}$  is decidable, sound and complete w.r. to arithmetical single-conclusion proof interpretations. It provides the same **admissibility test** for arithmetical inference rules specified by schemes in  $\mathbf{FLP}_{ref}$ -language.*

Ex:

$\text{is\_proof}(t) := t:\text{goal}(t)$  means “ $t$  is a complete proof”;

$\exists \bar{x}_{t:\varphi(\bar{x})} F(\bar{x}) := t:\varphi(\bar{g}(t)) \wedge F(\bar{g}(t));$

$\forall \bar{x}_{t:\varphi(\bar{x})} F(\bar{x}) := t:\varphi(\bar{g}(t)) \rightarrow F(\bar{g}(t)).$

$$\frac{\text{is\_proof}(p)}{\text{goal}(p)}$$

$$\frac{\text{is\_proof}(p)}{\text{refl}(!p):\text{goal}(p)}$$

$$\frac{p:\neg\text{goal}(p)}{\perp}$$

$$\frac{\exists S_0, S_1_{p_0:(S_0 \rightarrow S_1)} p_1:S_0}{\text{is\_proof}(p_0 p_1)}$$

## Reflexive combinatory logic

**RCL** $\rightarrow$  (Artemov, 2003), extends **CL** $\rightarrow$  (Curry).

$!t, \quad t \cdot s, \quad t:F, \quad F \rightarrow G$

Rigid typing:  $x_i^F$  (typed proof variables);

$\mathbf{k}(\dots) \mathbf{s}(\dots), \mathbf{d}(\dots), \mathbf{o}(\dots), \mathbf{c}(\dots)$  (typed proof constants).

Inductive definitions for two judgements:

- “ $F$  is well formed formula”
- “ $\Gamma \vdash F$ ”

For every  $t$  there is at most one  $F$  s.t.  $t:F$  is well formed.

## RCL $\rightarrow$ , wf-rules:

Standard wf-rules from **CL** $\rightarrow$  for  $\rightarrow$ ,  $\cdot$ , **k**(...), **s**(...);

$$\frac{F \text{ -wf}}{x_i^F : F \text{ -wf}} \quad \frac{t : F \text{ -wf}}{!t : t : F \text{ -wf}} \quad \frac{t : F \text{ -wf}}{\mathbf{d}^{t:F \rightarrow F} : (t : F \rightarrow F) \text{ -wf}}$$

$$\frac{u : (F \rightarrow G), v : F \text{ -wf}}{\mathbf{o}^{(\dots)} : (u : (F \rightarrow G) \rightarrow (v : F \rightarrow uv : G)) \text{ -wf}}$$

$$\frac{t : F \text{ -wf}}{\mathbf{c}^{(\dots)} : (t : F \rightarrow !t : t : F) \text{ -wf}}$$

“  $F \text{ -wf}$  ” is polynomial time decidable. (N. Krupski)

## RCL $\rightarrow$ , derivability:

**Precondition:** all formulas below must be well formed.

**Axioms:**  $t:F \rightarrow F$

$\mathbf{k}(\dots): (F \rightarrow (G \rightarrow F))$

$\mathbf{s}(\dots): ((F \rightarrow (G \rightarrow H)) \rightarrow ((F \rightarrow G) \rightarrow (F \rightarrow H)))$

$\mathbf{d}(\dots): (t:F \rightarrow F)$

$\mathbf{o}(\dots): (u:(F \rightarrow G) \rightarrow (v:F \rightarrow uv:G))$

$\mathbf{c}(\dots): (t:F \rightarrow !t:t:F)$

**Rule:**  $F \rightarrow G, F \vdash G.$

“ $\Gamma \vdash F$ ” is *PSPACE*-complete. (N. Krupski)