

# International School on Rewriting (ISR 2012)

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## MUG: Matching, Unification, Generalizations Part 2

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## Overview

### Part 1

Syntactic unification and matching

### Part 2

Equational unification and matching



## Overview

### Part 1

Syntactic unification and matching

### Part 2

Equational unification and matching



## Motivation

- Equational matching and unification algorithms are used in
  - rewriting and completion modulo equalities,
  - automated reasoning,
  - logic programming with equalities,
  - ...



## Motivation

- Equational unification is a dual problem for the word problem.
- $E$ : A given set of equalities.
- Word problem:  
Does  $\forall \bar{x}. s \doteq t$  hold in all models of  $E$ ?
- Equational unification:  
Does  $\exists \bar{x}. s \doteq t$  hold in all nonempty models of  $E$ ?



## Motivation

- Equational unification generalizes syntactic unification.
- $f(x, y) \doteq f(a, b)$  has only one mgu  $\{x \mapsto a, y \mapsto b\}$ , if it is a syntactic unification problem.
- If  $f$  is commutative, then  $\{x \mapsto b, y \mapsto a\}$  is another unifier.



## Notation

- First-order language.
- $\mathcal{F}$ : Set of function symbols.
- $\mathcal{V}$ : Set of variables.
- $x, y, z$ : Variables.
- $a, b, c$ : Constants.
- $f, g, h$ : Arbitrary function symbols.
- $s, t, r$ : Terms.
- $\mathcal{T}(\mathcal{F}, \mathcal{V})$ : Set of terms over  $\mathcal{F}$  and  $\mathcal{V}$ .



## Notation

- Equation: a pair of terms, written  $s \doteq t$ .
- $vars(t)$ : The set of variables in  $t$ . This notation will be used also for sets of terms, equations, and sets of equations.
- $\sigma, \vartheta, \eta, \rho$ : Substitutions.
- $\varepsilon$ : The identity substitution.



## Equational Theory

### Equational Theory

- $E$ : a set of equations over  $\mathcal{T}(\mathcal{F}, \mathcal{V})$ , called identities.
- Equational theory  $\doteq_E$  defined by  $E$ : The least congruence relation on  $\mathcal{T}(\mathcal{F}, \mathcal{V})$  stable under substitution application and containing  $E$ .
- That means,  $\doteq_E$  is the least binary relation on  $\mathcal{T}(\mathcal{F}, \mathcal{V})$  such that:
  - $E \subseteq \doteq_E$ .
  - Reflexivity:  $s \doteq_E s$  for all  $s$ .
  - Symmetry: If  $s \doteq_E t$  then  $t \doteq_E s$  for all  $s, t$ .
  - Transitivity: If  $s \doteq_E t$  and  $t \doteq_E r$  then  $s \doteq_E r$  for all  $s, t, r$ .
  - Congruence: If  $s_1 \doteq_E t_1, \dots, s_n \doteq_E t_n$  then  $f(s_1, \dots, s_n) \doteq_E f(t_1, \dots, t_n)$  for all  $s, t, n$  and  $n$ -ary  $f$ .
  - Stability: If  $s \doteq_E t$  then  $s\sigma \doteq_E t\sigma$  for all  $s, t, \sigma$ .



## Notation, Terminology

- $s \doteq_E t$ :
  - The pair  $(s, t)$  belongs to the equational theory  $\doteq_E$ .
  - The term  $s$  is equal modulo  $E$  to the term  $t$ .
- $s \approx t$ : Identities.
- $\text{sig}(E)$ : The set of function symbols that occur in  $E$ .
- Sometimes  $E$  is called an equational theory as well.



## Notation, Terminology

### Example

- $C := \{f(x, y) \approx f(y, x)\}$ :  $f$  is commutative.  
 $\text{sig}(C) = f$ .  
 $f(f(a, b), c) \doteq_C f(c, f(b, a))$ .
- $AU := \{f(f(x, y), z) \approx f(x, f(y, z)), f(x, e) \approx x, f(e, x) \approx x\}$ :  
 $f$  is associative,  $e$  is unit.  
 $\text{sig}(AU) = \{f, e\}$   
 $f(a, f(x, f(e, a))) \doteq_{AU} f(f(a, x), a)$ .



## Notation, Terminology

### $E$ -Unification Problem, $E$ -Unifier, $E$ -Unifiability

- $E$ : a given set of identities.
- $E$ -Unification problem over  $\mathcal{F}$ : a finite set of equations

$$\Gamma = \{s_1 \doteq_E^? t_1, \dots, s_n \doteq_E^? t_n\},$$

where  $s_i, t_i \in \mathcal{T}(\mathcal{F}, \mathcal{V})$ .

- $E$ -Unifier of  $\Gamma$ : a substitution  $\sigma$  such that

$$s_1\sigma \doteq_E t_1\sigma, \dots, s_n\sigma \doteq_E t_n\sigma.$$

- $u_E(\Gamma)$ : the set of  $E$ -unifiers of  $\Gamma$ .
- $\Gamma$  is  $E$ -unifiable iff  $u_E(\Gamma) \neq \emptyset$ .

## $E$ -Unification vs Syntactic Unification

- Syntactic unification: a special case of  $E$ -unification with  $E = \emptyset$ .
- Any syntactic unifier of an  $E$ -unification problem  $\Gamma$  is also an  $E$ -unifier of  $\Gamma$ .
- For  $E \neq \emptyset$ ,  $u_E(\Gamma)$  may contain a unifier that is not a syntactic unifier.



## $E$ -Unification vs Syntactic Unification

### Example

- Terms  $f(a, x)$  and  $f(b, y)$ :
  - Not syntactically unifiable.
  - Unifiable modulo commutativity of  $f$ .
  - C-unifier:  $\{x \mapsto b, y \mapsto a\}$
- Terms  $f(a, x)$  and  $f(y, b)$ :
  - Have the most general syntactic unifier  $\{x \mapsto b, y \mapsto a\}$ .
  - If  $f$  is associative, then there are additional unifiers, e.g.,  $\{x \mapsto f(z, b), y \mapsto f(a, z)\}$ .



## Notions Adapted

### Instantiation Quasi-Ordering (Modified)

- $E$ : equational theory.  $\mathcal{X}$ : set of variables.
- A substitution  $\sigma$  is *more general than*  $\vartheta$  modulo  $E$  on  $\mathcal{X}$ , written  $\sigma \leq_E^{\mathcal{X}} \vartheta$ , if there exists  $\eta$  such that  $x\sigma\eta \doteq_E x\vartheta$  for all  $x \in \mathcal{X}$ .
- $\vartheta$  is called an  $E$ -instance of  $\sigma$  modulo  $E$  on  $\mathcal{X}$ .
- The relation  $\leq_E^{\mathcal{X}}$  is quasi-ordering, called *instantiation quasi-ordering*.
- $\approx_E^{\mathcal{X}}$  is the equivalence relation corresponding to  $\leq_E^{\mathcal{X}}$ .



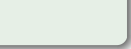
## No MGU

- When comparing unifiers of  $\Gamma$ , the set  $\mathcal{X}$  is  $vars(\Gamma)$ .
- Unifiable  $E$ -unification problems might not have an mgu.

### Example

- $f$  is commutative.
- $\Gamma = \{f(x, y) \doteq_C^? f(a, b)\}$  has two C-unifiers:
 
$$\sigma_1 = \{x \mapsto a, y \mapsto b\}$$

$$\sigma_2 = \{x \mapsto b, y \mapsto a\}.$$
- On  $vars(\Gamma) = \{x, y\}$ , any unifier is equal to either  $\sigma_1$  or  $\sigma_2$ .
- $\sigma_1$  and  $\sigma_2$  are not comparable wrt  $\leq_C^{\{x, y\}}$ .
- Hence, no mgu for  $\Gamma$ .



## MCSU vs MGU

In  $E$ -unification, the role of mgu is taken on by a complete set of  $E$ -unifiers.

### Complete and Minimal Complete Sets of $E$ -Unifiers

- $\Gamma$ :  $E$ -unification problem over  $\mathcal{F}$ .
- $\mathcal{X} = \text{vars}(\Gamma)$ .
- $\mathcal{C}$  is a *complete set of  $E$ -unifiers* of  $\Gamma$  iff
  1.  $\mathcal{C} \subseteq u_E(\Gamma)$ :  $\mathcal{C}$ 's elements are  $E$ -unifiers of  $\Gamma$ , and
  2. For each  $\vartheta \in u_E(\Gamma)$  there exists  $\sigma \in \mathcal{C}$  such that  $\sigma \leq_E^{\mathcal{X}} \vartheta$ .
- $\mathcal{C}$  is a *minimal complete set of  $E$ -unifiers* ( $mcsu_E$ ) of  $\Gamma$  if it is a complete set of  $E$ -unifiers of  $\Gamma$  and
  3. Two distinct elements of  $\mathcal{C}$  are not comparable wrt  $\leq_E^{\mathcal{X}}$ .
- $\sigma$  is an mgu of  $\Gamma$  iff  $mcsu_E(\Gamma) = \{\sigma\}$ .

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## MCSU's

- $mcsu_E(\Gamma) = \emptyset$  if  $\Gamma$  is not  $E$ -unifiable.
- Minimal complete sets of unifiers do not always exist.
- When they exist, they may be infinite.
- When they exist, they are unique up to  $\approx_E^{\mathcal{X}}$ .

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## Unification Type

### Unification Type of a Problem, Theory.

- $E$ : equational theory.
- $\Gamma$ :  $E$ -unification problem over  $\mathcal{F}$ .
- $\Gamma$  has *unification type*
  - *unitary*, if  $mcsu(\Gamma)$  has cardinality at most one,
  - *finitary*, if  $mcsu(\Gamma)$  has finite cardinality,
  - *infinitary*, if  $mcsu(\Gamma)$  has infinite cardinality,
  - *zero*, if  $mcsu(\Gamma)$  does not exist.
- Abbreviation: type unitary - 1, finitary -  $\omega$ , infinitary -  $\infty$ , zero - 0.
- Ordering:  $1 < \omega < \infty < 0$ .
- *Unification type* of  $E$  wrt  $\mathcal{F}$ : the maximal type of an  $E$ -unification problem over  $\mathcal{F}$ .

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## Unification Type

The unification type of an  $E$ -equational problem over  $\mathcal{F}$  depends both

- on  $E$ , and
- on  $\mathcal{F}$  (which function symbols are permitted in unification problems).

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## Unification Type

### Example (Type Unitary)

Syntactic unification.

- The empty equational theory  $\emptyset$ : Syntactic unification.
- Unitary wrt any  $\mathcal{F}$  because any unifiable syntactic unification problem has an mgu.



## Unification Type

### Example (Type Finitary)

Commutative unification:  $\{f(x, y) \approx f(y, x)\}$

- Not unitary.
- $\{f(x, y) \doteq_C^? f(a, b)\}$  has two unifiers  $\{x \mapsto a, y \mapsto b\}$  and  $\{x \mapsto b, y \mapsto a\}$ .
- No mgu.
- C unification is finitary.



## Unification Type

### Example (Type Finitary)


C unification is finitary for any  $\mathcal{F}$ :

- Let  $\Gamma = \{s_1 \doteq_C^? t_1, \dots, s_n \doteq_C^? t_n\}$  be a C-unification problem.
- Consider all possible syntactic unification problems  $\Gamma' = \{s'_1 \doteq_C^? t'_1, \dots, s'_n \doteq_C^? t'_n\}$ , where  $s'_i \doteq_C s_i$  and  $t'_i \doteq_C t_i$  for each  $1 \leq i \leq n$ .
- There are only finitely many such  $\Gamma'$ 's, because the C-equivalence class for a given term  $t$  is finite.
- It can be shown that collection of all mgu's of  $\Gamma'$ 's is a complete set of C-unifiers of  $\Gamma$ . This set is finite.
- If this set is not minimal (often the case), it can be minimized by removing redundant C-unifiers.

## Unification Type

### Example (Type Infinitary)

Associative unification:  $\{f(f(x, y), z) \approx f(x, f(y, z))\}$ .

- $\{f(x, a) \doteq_A^? f(a, x)\}$  has an infinite *mcsu*:  $\{\{x \mapsto a\}, \{x \mapsto f(a, a)\}, \{x \mapsto f(a, f(a, a))\}, \dots\}$
- Hence, A-unification can not be unitary or finitary.
- It is not of type zero because any A-unification problem has an *mcsu* that can be enumerated by the procedure from  G. Plotkin. Building in equational theories. In B. Meltzer and D. Michie, editors, *Machine Intelligence*, volume 7, pages 73–90. Edinburgh University Press, 1972.
- A-unification is infinitary for any  $\mathcal{F}$ .

## Unification Type

### Example (Type Zero)

Associative-Idempotent unification:

$\{f(f(x, y), z) \approx f(x, f(y, z)), f(x, x) \approx x\}$ .

- $\{f(x, f(y, x)) \doteq_{AI}^? f(x, f(z, x))\}$  does not have a minimal complete set of unifiers, see

 F. Baader.

Unification in idempotent semigroups is of type zero.

*J. Automated Reasoning*, 2(3):283–286, 1986.

- Al-unification is of type zero.



## Unification Type. Signature Matters

Unification Type depends on  $\mathcal{F}$ .

### Example

Associative-commutative unification with unit (ACU):

- $\{f(f(x, y), z) \approx f(x, f(y, z)), f(x, y) \approx f(y, x), f(x, e) \approx x\}$ .
- Any ACU problem built using only  $f$  and variables is unitary.
- There are ACU problems containing function symbols other than  $f$  and  $e$ , which are finitary, not unitary.
- For instance,  $mcsu(\{f(x, y) \doteq_{ACU}^? f(a, b)\})$  consists of four unifiers (which ones?).

Kinds of  $E$ -unification.



## Kinds of $E$ -Unification

One may distinguish three kinds of  $E$ -unification problems, depending on the function symbols that are allowed to occur in them.

### $E$ -Unification Problems: Elementary, with Constants, General.

- $E$ : an equational Theory.  
 $\Gamma$ : an  $E$ -unification problem over  $\mathcal{F}$ .
- $\Gamma$  is an elementary  $E$ -unification problem iff  $\mathcal{F} = sig(E)$ .
- $\Gamma$  is an  $E$ -unification problem with constants iff  $\mathcal{F} \setminus sig(E)$  consists of constants.
- $\Gamma$  is a general  $E$ -unification problem iff  $\mathcal{F} \setminus sig(E)$  may contain arbitrary function symbols.



## Unification Types of Theories wrt Kinds

### Unification Types Depending on Signature

- Unification type of  $E$  wrt elementary unification:  
Maximal unification type of  $E$  wrt all  $\mathcal{F}$  such that  $\mathcal{F} = sig(E)$ .
- Unification type of  $E$  wrt unification with constants:  
Maximal unification type of  $E$  wrt all  $\mathcal{F}$  such that  $\mathcal{F} \setminus sig(E)$  is a set of constants.
- Unification type of  $E$  wrt general unification:  
Maximal unification type of  $E$  wrt all  $\mathcal{F}$  such that  $\mathcal{F} \setminus sig(E)$  is a set of arbitrary function symbols.



## Unification Types of Theories wrt Kinds

The same equational theory can have different unification types for different kinds. Examples:

- ACU (Abelian monoids): Unitary wrt elementary unification, finitary wrt unification with constants and general unification.
- AG (Abelian groups): Unitary wrt elementary unification and unification with constants, finitary wrt general unification.



## Single Equation vs Systems of Equations

- In syntactic unification, solving systems of equations can be reduced to solving a single equation.
- For equational unification, the same holds only for general unification.
- For elementary unification and for unification with constants it is not the case.



## Unification Types wrt of Cardinality of Problems

There exists an equational theory  $E$  such that

- all elementary  $E$ -unification problems of cardinality 1 (single equations) have minimal complete sets of  $E$ -unifiers, but
- $E$  is of type zero wrt to elementary unification: There exists an elementary  $E$ -unification problem of cardinality 2 that does not have a minimal complete set of unifiers.



H.-J. Bürckert, A. Herold, and M. Schmidt-Schauß.  
On equational theories, unification, and decidability.  
*J. Symbolic Computation* 8(3,4), 3–49. 1989.



## Decision and Unification Procedures

- **Decision procedure** for an equational theory  $E$  (wrt  $\mathcal{F}$ ): An algorithm that for each  $E$ -unification problem  $\Gamma$  (wrt  $\mathcal{F}$ ) returns *success* if  $\Gamma$  is  $E$ -unifiable, and *failure* otherwise.
- $E$  is **decidable** if it admits a decision procedure.
- (Minimal)  **$E$ -unification algorithm** (wrt  $\mathcal{F}$ ): An algorithm that computes a (minimal) finite complete set of  $E$ -unifiers for all  $E$ -unification problems over  $\mathcal{F}$ .
- $E$ -unification algorithm yields a decision procedure for  $E$ .
- (Minimal)  **$E$ -unification procedure**: A procedure that enumerates a possible infinite (minimal) complete set of  $E$ -unifiers.
- $E$ -unification procedure does not yield a decision procedure for  $E$ .





## Decidability wrt Kinds

Decidability of an equational theory might depend on the kinds of  $E$ -unification.

- There exists an equational theory for which elementary unification is decidable, but unification with constants is undecidable:

 H.-J. Bürckert.

Some relationships between unification, restricted unification, and matching.

In J. Siekmann, editor, *Proc. 8th Int. Conference on Automated Deduction*, volume 230 of *LNCS*. Springer, 1986.



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## Decidability wrt Kinds

Decidability of an equational theory might depend on the kinds of  $E$ -unification.

- There exists an equational theory for which unification with constants is decidable, but general unification is undecidable:

 J. Otop.

E-unification with constants vs. general E-unification.

*Journal of Automated Reasoning*, 48(3):363–390, 2012.



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## Decidability wrt Problem Cardinality

There exists an equational theory  $E$  such that

- unifiability of elementary  $E$ -unification problems of cardinality 1 (single equations) is decidable, but
- for elementary problems of larger cardinality it is undecidable.

 P. Narendran and H. Otto.

Some results on equational unification.

In M. E. Stickel, editor, *Proc. 10th Int. Conference on Automated Deduction*, volume 449 of *LNAI*. Springer, 1990.



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## Summary

- Unification type depends on
  - equational theory,
  - signature (kinds),
  - cardinality of unification problems.
- Decidability depends on
  - equational theory,
  - signature (kinds),
  - cardinality of unification problems.



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## Three Main Questions in Unification Theory

**Decidability:** Is it decidable whether an  $E$ -unification problem is solvable?  
If yes, what is the complexity of this decision problem?

**Unification type:** What is the unification type of the theory  $E$ ?

**Unification algorithm:** How can we obtain an (efficient)  $E$ -unification algorithm, or a (preferably minimal)  $E$ -unification procedure?



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## Commutative Unification and Matching

- C-unification inference system  $\mathcal{U}_C$  can be obtained from the  $\mathcal{U}$  by adding the C-Decomposition rule:

$$\text{C-Decomposition: } \{f(s_1, s_2) \doteq_C^? f(t_1, t_2)\} \uplus P'; S \implies \\ \{s_1 \doteq_C^? t_2, s_2 \doteq_C^? t_1\} \cup P'; S, \\ \text{if } f \text{ is commutative.}$$

- C-Decomposition** and **Decomposition** transform the same system in different ways.
- C-matching algorithm  $\mathcal{M}_C$  is obtained analogously from  $\mathcal{M}$ .



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## Summary of Results for Specific Theories

General unification:

| Theory           | Decidability | Type               | Algorithm/Procedure |
|------------------|--------------|--------------------|---------------------|
| $\emptyset, BR$  | Yes          | 1                  | Yes                 |
| A, AU            | Yes          | $\infty$           | Yes                 |
| C, AC, ACU       | Yes          | $\omega$           | Yes                 |
| I, CI, ACI       | Yes          | $\omega$           | Yes                 |
| AI               | Yes          | 0                  | ?                   |
| $D_{\{f,g\}}A_g$ | No           | $\infty$           | ?                   |
| AG               | Yes          | $\omega$           | Yes                 |
| CRU              | No           | ? ( $\infty$ or 0) | ?                   |

BR - Boolean ring, D - distributivity, CRU - commutative ring with unit.



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## C-Unification

In order to C-unify  $s$  and  $t$ :

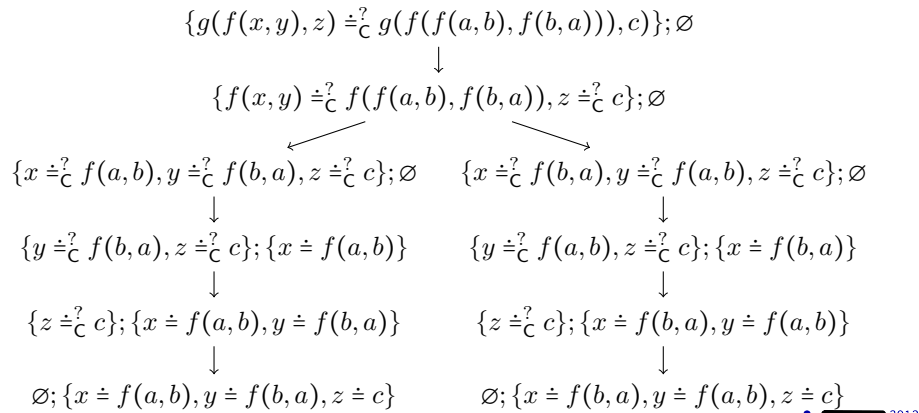
- Create an initial system  $\{s \doteq_C^? t\}; \emptyset$ .
- Apply successively rules from  $\mathcal{U}_C$ , building a complete tree of derivations. **C-Decomposition** and **Decomposition** rules have to be applied concurrently and form branching points in the derivation tree.



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## Example. C-Unification

C-unify  $g(f(x, y), z)$  and  $g(f(f(a, b), f(b, a)), c)$ , commutative  $f$ .



Not minimal.



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## Properties of the C-Unification Algorithm

### Theorem

Applied to a C-unification problem  $P$ , the C-unification algorithm terminates and computes a complete set of C-unifiers of  $P$ .

### Proof.

- Termination is proved using the same measure as for syntactic unification.
- Completeness is based on the following two facts:
  - If  $\Gamma$  is transformed by only one rule of  $\mathcal{U}_C$  into  $\Gamma'$ , then  $u_C(\Gamma) = u_C(\Gamma')$ .
  - If  $\Gamma$  is transformed by two rules of  $\mathcal{U}_C$  into  $\Gamma_1$  and  $\Gamma_2$ , then  $u_C(\Gamma) = u_C(\Gamma_1) \cup u_C(\Gamma_2)$ .

□

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## MCSU for C-Unification/Matching Problems Can Be Large

### Example

- Problem:  $f(f(x_1, x_2), f(x_3, x_4)) \doteq_C^? f(f(a, b), f(c, d))$ .
- $mcsu$  contains 4! substitutions.



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## Properties of the C-Unification Algorithm

- The algorithm, in general, does not return a minimal complete set of C-unifiers.
- The obtained complete set can be further minimized, removing redundant unifiers.
- Not clear how to design a C-unification algorithm that computes a minimal complete set of unifiers directly.



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## Properties of the C-Unification Algorithm

### Theorem

*The decision problem of C-matching and unification is NP-complete.*

### Proof.

Exercise.



## ACU-Unification

$$\text{ACU} = \{f(f(x, y), z) \approx f(x, f(y, z)), f(x, y) \approx f(y, x), f(x, e) \approx x\}$$

- ① Associativity, commutativity, unit element.
- ②  $f$  is associative and commutative,  $e$  is the unit element.



## Example: Elementary ACU-Unification

Elementary ACU-unification problem:

$$\Gamma = \{f(x, f(x, y)) \stackrel{?}{\approx}_{\text{ACU}} f(z, f(z, z))\}$$

Solving idea:

1. Associate with the equation in  $\Gamma$  a homogeneous linear Diophantine equation  $2x + y = 3z$ .
2. The equation states that the number of new variables introduced by a unifier  $\sigma$  in both sides of  $\Gamma\sigma$  must be the same.

(Continues on the next slide.)



## Example. Elementary ACU-Unification (Cont.)

3. Solve  $2x + y = 3z$  over nonnegative integers. Three minimal solutions:

$$x = 1, y = 1, z = 1$$

$$x = 0, y = 3, z = 1$$

$$x = 3, y = 0, z = 2$$

Any other solution of the equation can be obtained as a nonnegative linear combination of these three solutions.

(Continues on the next slide.)



## Example. Elementary ACU-Unification (Cont.)

4. Introduce new variables  $v_1, v_2, v_3$  for each solution of the Diophantine equation:

|       | $x$ | $y$ | $z$ |
|-------|-----|-----|-----|
| $v_1$ | 1   | 1   | 1   |
| $v_2$ | 0   | 3   | 1   |
| $v_3$ | 3   | 0   | 2   |

5. Each row corresponds to a unifier of  $\Gamma$ :

$$\sigma_1 = \{x \mapsto v_1, y \mapsto v_1, z \mapsto v_1\}$$

$$\sigma_2 = \{x \mapsto e, y \mapsto f(v_2, f(v_2, v_2)), z \mapsto v_2\}$$

$$\sigma_3 = \{x \mapsto f(v_3, f(v_3, v_3)), y \mapsto e, z \mapsto f(v_3, v_3)\}$$

However, none of them is an mgu.



## Example. Elementary ACU-Unification (Cont.)

6. To obtain an mgu, we should combine all three solutions:

|       | $x$ | $y$ | $z$ |
|-------|-----|-----|-----|
| $v_1$ | 1   | 1   | 1   |
| $v_2$ | 0   | 3   | 1   |
| $v_3$ | 3   | 0   | 2   |

The columns indicate that the mgu we are looking for should have

- in the binding for  $x$  one  $v_1$ , zero  $v_2$ , and three  $v_3$ 's,
- in the binding for  $y$  one  $v_1$ , three  $v_2$ 's, and zero  $v_3$ ,
- in the binding for  $z$  one  $v_1$ , one  $v_2$ , and two  $v_3$ 's

7. Hence, we can construct an mgu:

$$\sigma = \{x \mapsto f(v_1, f(v_3, f(v_3, v_3))), y \mapsto f(v_1, f(v_2, f(v_2, v_2))), z \mapsto f(v_1, f(v_2, f(v_3, v_3)))\}$$



## Example: ACU-Unification with constants

- ACU-unification problem with constants

$$\Gamma = \{f(x, f(x, y)) \stackrel{?}{\text{ACU}} f(a, f(z, f(z, z)))\}$$

reduces to inhomogeneous linear Diophantine equation

$$S = \{2x + y = 3z + 1\}.$$

- The minimal nontrivial natural solutions of  $S$  are  $(0, 1, 0)$  and  $(2, 0, 1)$ .



## Example: ACU-Unification with constants

- ACU-unification problem with constants

$$\Gamma = \{f(x, f(x, y)) \stackrel{?}{\text{ACU}} f(a, f(z, f(z, z)))\}$$

reduces to inhomogeneous linear Diophantine equation

$$S = \{2x + y = 3z + 1\}.$$

- Every natural solution of  $S$  is obtained by as the sum of one of the minimal solution and a solution of the corresponding homogeneous LDE  $2x + y = 3z$ .
- One element of the minimal complete set of unifiers of  $\Gamma$  is obtained from the combination of one minimal solution of  $S$  with the set of all minimal solutions of  $2x + y = 3z$ .



## Example: ACU-Unification with constants

- ACU-unification problem with constants

$$\Gamma = \{f(x, f(x, y)) \stackrel{?}{\doteq}_{\text{ACU}} f(a, f(z, f(z, z)))\}$$

reduces to inhomogeneous linear Diophantine equation

$$S = \{2x + y = 3z + 1\}.$$

- The minimal complete set of unifiers of  $\Gamma$  is  $\{\sigma_1, \sigma_2\}$ , where

$$\begin{aligned} \sigma_1 = \{ &x \mapsto f(v_1, f(v_3, f(v_3, v_3))), \\ &y \mapsto f(a, f(v_1, f(v_2, f(v_2, v_2))), \\ &z \mapsto f(v_1, f(v_2, f(v_3, v_3)))\} \end{aligned}$$

$$\begin{aligned} \sigma_2 = \{ &x \mapsto f(a, f(a, f(v_1, f(v_3, f(v_3, v_3))))), \\ &y \mapsto f(v_1, f(v_2, f(v_2, v_2))), \\ &z \mapsto f(a, f(v_1, f(v_2, f(v_3, v_3))))\} \end{aligned}$$



## ACU-Unification with constants

- If an ACU-unification problem contains more than one constant, solve the corresponding inhomogeneous LDE for each constant.
- The unifiers in the minimal complete set correspond to all possible combinations of the minimal solutions of these inhomogeneous equations.



## ACU-Unification with constants

### Example

$$xxy \stackrel{?}{\doteq}_{\text{ACU}} aabbb:$$

- Equation for  $a$ :  $2x + y = 2$ . Minimal solutions:  $(1, 0)$  and  $(0, 2)$ .
- Corresponding unifiers:  $\{x \mapsto a, y \mapsto e\}, \{x \mapsto e, y \mapsto aa\}$
- Equation for  $b$ :  $2x + y = 3$ . Minimal solutions:  $(0, 3)$  and  $(1, 1)$ .
- Corresponding unifiers:  $\{x \mapsto e, y \mapsto bbb\}, \{x \mapsto b, y \mapsto b\}$
- Unifiers in the minimal complete set:  $\{x \mapsto a, y \mapsto bbb\}, \{x \mapsto ab, y \mapsto b\}, \{x \mapsto e, y \mapsto aabbb\}, \{x \mapsto b, y \mapsto aab\}$ .



## From ACU to AC

### Example

- How to solve  $\Gamma_1 = \{f(x, f(x, y)) \stackrel{?}{\doteq}_{\text{AC}} f(z, f(z, z))\}$ ?
- We “know” how to solve  $\Gamma_2 = \{f(x, f(x, y)) \stackrel{?}{\doteq}_{\text{ACU}} f(z, f(z, z))\}$ , but its mgu is not an mgu for  $\Gamma_1$ .
- Mgu of  $\Gamma_2$ :

$$\begin{aligned} \sigma = \{ &x \mapsto f(v_1, f(v_3, f(v_3, v_3))), y \mapsto f(v_1, f(v_2, f(v_2, v_2))), \\ &z \mapsto f(v_1, f(v_2, f(v_3, v_3)))\} \end{aligned}$$

- Unifier of  $\Gamma_1$ :  $\vartheta = \{x \mapsto v_1, y \mapsto v_1, z \mapsto v_1\}$ .
- $\sigma$  is not more general modulo AC than  $\vartheta$ .



## From ACU to AC

### Example

- Idea: Take the mgu of  $\Gamma_2$ .
- Compose it with all possible erasing substitutions that map a subset of  $\{v_1, v_2, v_3\}$  to the unit element.
- Restriction: The result of the composition should not map  $x, y$ , and  $z$  to the unit element.



## From ACU to AC

### Example

Minimal complete set of unifiers for  $\Gamma_1$ :

$$\sigma_1 = \{x \mapsto f(v_1, f(v_3, f(v_3, v_3))), y \mapsto f(v_1, f(v_2, f(v_2, v_2))), z \mapsto f(v_1, f(v_2, f(v_3, v_3)))\}$$

$$\sigma_2 = \{x \mapsto f(v_3, f(v_3, v_3)), y \mapsto f(v_2, f(v_2, v_2)), z \mapsto f(v_2, f(v_3, v_3))\}$$

$$\sigma_3 = \{x \mapsto f(v_1, f(v_3, f(v_3, v_3))), y \mapsto v_1, z \mapsto f(v_1, f(v_3, v_3))\}$$

$$\sigma_4 = \{x \mapsto v_1, y \mapsto f(v_1, f(v_2, f(v_2, v_2))), z \mapsto f(v_1, v_2)\}$$

$$\sigma_5 = \{x \mapsto v_1, y \mapsto v_1, z \mapsto v_1\}$$



## How to Solve Systems of LDEs over Naturals?

Contejean-Devie Algorithm:



Evelyne Contejean and Hervé Devie.

An Efficient Incremental Algorithm for Solving Systems of Linear Diophantine Equations.

Information and Computation 113(1): 143–172 (1994).

Generalizes Fortenbacher's Algorithm for solving a single equation:



Michael Clausen and Albrecht Fortenbacher.

Efficient Solution of Linear Diophantine Equations.

J. Symbolic Computation 8(1,2): 201–216 (1989).



## Homogeneous Case

Homogeneous linear Diophantine system with  $m$  equations and  $n$  variables:

$$\begin{cases} a_{11}x_1 + \dots + a_{1n}x_n = 0 \\ \vdots \\ a_{m1}x_1 + \dots + a_{mn}x_n = 0 \end{cases}$$

- $a_{ij}$ 's are integers.
- Looking for nontrivial natural solutions.



## Homogeneous Case

### Example

$$\begin{cases} -x_1 + x_2 + 2x_3 - 3x_4 = 0 \\ -x_1 + 3x_2 - 2x_3 - x_4 = 0 \end{cases}$$

Nontrivial solutions:

- $s_1 = (0, 1, 1, 1)$
- $s_2 = (4, 2, 1, 0)$
- $s_3 = (0, 2, 2, 2) = 2s_1$
- $s_4 = (8, 4, 2, 0) = 2s_2$
- $s_5 = (4, 3, 2, 1) = s_1 + s_2$
- $s_6 = (8, 5, 3, 1) = s_1 + 2s_2$
- ...

## Homogeneous Case

The basis in the set  $S$  of nontrivial natural solutions of a homogeneous LDS is the set of  $\gg$ -minimal elements  $S$ .

$\gg$  is the ordering on tuples of natural numbers:

$$(x_1, \dots, x_n) \gg (y_1, \dots, y_n)$$

if and only if

- $x_i \geq y_i$  for all  $1 \leq i \leq n$  and
- $x_i > y_i$  for some  $1 \leq i \leq n$ .

## Homogeneous Case

Homogeneous linear Diophantine system with  $m$  equations and  $n$  variables:

$$\begin{cases} a_{11}x_1 + \dots + a_{1n}x_n = 0 \\ \vdots \\ a_{m1}x_1 + \dots + a_{mn}x_n = 0 \end{cases}$$

- $a_{ij}$ 's are integers.
- Looking for a **basis** in the set of nontrivial natural solutions.
- Does it exist?

## Matrix Form

Homogeneous linear Diophantine system with  $m$  equations and  $n$  variables:

$$Ax \downarrow = 0 \downarrow,$$

where

$$A := \begin{pmatrix} a_{11} & \dots & a_{1n} \\ \vdots & & \vdots \\ a_{m1} & \dots & a_{mn} \end{pmatrix} \quad x \downarrow := \begin{pmatrix} x_1 \\ \vdots \\ x_n \end{pmatrix} \quad 0 \downarrow := \begin{pmatrix} 0 \\ \vdots \\ 0 \end{pmatrix}$$



## Matrix Form

- Canonical basis in  $\mathbb{N}^n$ :  $(e_1\downarrow, \dots, e_n\downarrow)$ .

- $e_j\downarrow = \begin{pmatrix} 0 \\ \vdots \\ 1 \\ \vdots \\ 0 \end{pmatrix}$ , with 1 in  $j$ 's row.

- Then  $Ax\downarrow = x_1Ae_1\downarrow + \dots + x_nAe_n\downarrow$ .



## Matrix Form

- $a$ : The linear mapping associated to  $A$ .

$$a(x\downarrow) = \begin{pmatrix} a_{11}x_1 & +\dots+ & a_{1n}x_n \\ \vdots & & \vdots \\ a_{m1}x_1 & +\dots+ & a_{mn}x_n \end{pmatrix} = x_1a(e_1\downarrow) + \dots + x_na(e_n\downarrow).$$



## Single Equation: Idea

Case  $m = 1$ : Single homogeneous LDE  $a_1x_1 + \dots + a_nx_n = 0$ .

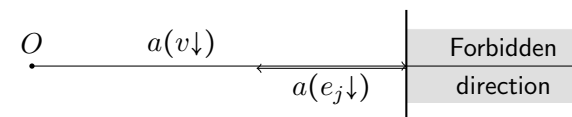
Fortenbacher's idea:

- Search minimal solutions starting from the elements in the canonical basis of  $\mathbb{N}^n$ .
- Suppose the current vector  $v\downarrow$  is not a solution.
- It can be nondeterministically increased, component by component, until it becomes a solution or greater than a solution.
- To decrease the search space, the following restrictions can be imposed:
  - If  $a(v\downarrow) > 0$ , then increase by one some  $v_j$  with  $a_j < 0$ .
  - If  $a(v\downarrow) < 0$ , then increase by one some  $v_j$  with  $a_j > 0$ .
  - (If  $a(v\downarrow)a(e_j\downarrow) < 0$  for some  $j$ , increase  $v_j$  by one.)



## Single Equation: Geometric Interpretation of the Idea

- **Fortenbacher's condition**  
If  $a(v\downarrow)a(e_j\downarrow) < 0$  for some  $j$ , increase  $v_j$  by one.
- Increasing  $v_j$  by one:  $a(v\downarrow + e_j\downarrow) = a(v\downarrow) + a(e_j\downarrow)$ .
- Going to the "right direction", towards the origin.



## Single Equation: Algorithm

Case  $m = 1$ : Single homogeneous LDE  $a_1x_1 + \dots + a_nx_n = 0$ .

Fortenbacher's algorithm:

- Start with the pair  $P, M$  of the set of potential solutions  $P = \{e_1\downarrow, \dots, e_n\downarrow\}$  and the set of minimal nontrivial solutions  $M = \emptyset$ .
- Apply repeatedly the rules:
  - 1  $\{v\downarrow\} \cup P', M \implies P', M$ ,  
if  $v\downarrow \gg u\downarrow$  for some  $u\downarrow \in M$ .
  - 2  $\{v\downarrow\} \cup P', M \implies P', \{v\downarrow\} \cup M$ ,  
if  $a(v\downarrow) = 0$  and rule 1 is not applicable.
  - 3  $P, M \implies \{v\downarrow + e_j\downarrow \mid v\downarrow \in P, a(v\downarrow)a(e_j\downarrow) < 0, j \in 1..n\}, M$ ,  
if rules 1 and 2 are not applicable.
- If  $\emptyset, M$  is reached, return  $M$ .



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## System of Equations: Idea

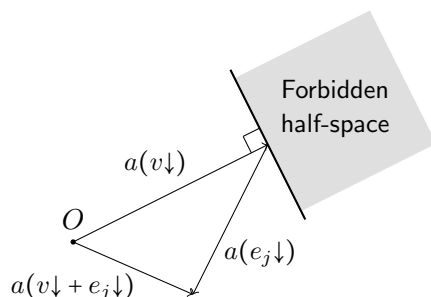
- General case: System of homogeneous LDEs.
- $a(x\downarrow) = 0\downarrow$ .
- Generalizing Fortenbacher's idea:
  - Search minimal solutions starting from the elements in the canonical basis of  $\mathbb{N}^n$ .
  - Suppose the current vector  $v\downarrow$  is not a solution.
  - It can be nondeterministically increased, component by component, until it becomes a solution or greater than a solution.
  - To decrease the search space, increase only those components that lead to the "right direction".



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## System of Equations: How to Restrict

- "Right direction": Towards the origin.
- If  $a(v\downarrow) \neq 0\downarrow$ , then do  $a(v\downarrow + e_j\downarrow) = a(v\downarrow) + a(e_j\downarrow)$ .
- $a(v\downarrow) + a(e_j\downarrow)$  should lie in the half-space containing  $O$ .
- **Contejean-Devie condition**: If  $a(v\downarrow) \cdot a(e_j\downarrow) < 0$  for some  $j$ , increase  $v_j$  by one. ( $\cdot$  is the scalar product.)



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## How to Restrict: Comparison

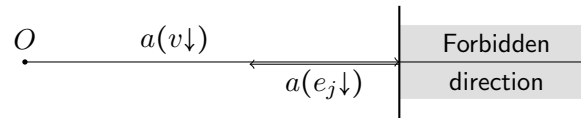
- **Fortenbacher's condition**  
If  $a(v\downarrow)a(e_j\downarrow) < 0$  for some  $j$ , increase  $v_j$  by one.
- **Contejean-Devie condition**  
If  $a(v\downarrow) \cdot a(e_j\downarrow) < 0$  for some  $j$ , increase  $v_j$  by one.



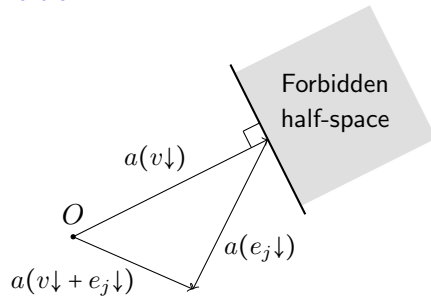
72

## How to Restrict: Comparison

### Fortenbacher's condition



### Contejean-Devie condition



## System of Equations: Algorithm

System of homogeneous LDEs:  $a(x\downarrow) = 0\downarrow$ .

Contejean-Devie algorithm:

- Start with the pair  $P, M$  where
  - $P = \{e_1\downarrow, \dots, e_n\downarrow\}$  is the set of potential solutions,
  - $M = \emptyset$  is the set of minimal nontrivial solutions.
- Apply repeatedly the rules:
  - 1  $\{v\downarrow\} \cup P', M \implies P', M$ , if  $v\downarrow \gg u\downarrow$  for some  $u\downarrow \in M$ .
  - 2  $\{v\downarrow\} \cup P', M \implies P', \{v\downarrow\} \cup M$ , if  $a(v\downarrow) = 0\downarrow$  and rule 1 is not applicable.
  - 3  $P, M \implies \{v\downarrow + e_j\downarrow \mid v\downarrow \in P, a(v\downarrow) \cdot a(e_j\downarrow) < 0, j \in 1..n\}, M$ , if rules 1 and 2 are not applicable.
- If  $\emptyset, M$  is reached, return  $M$ .

## Contejean-Devie Algorithm on an Example

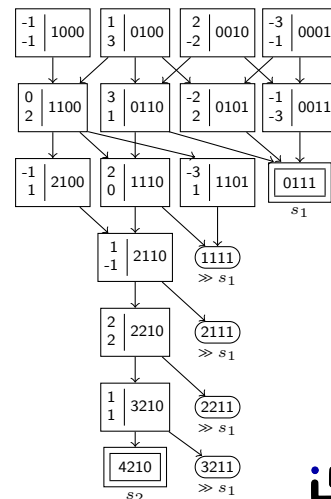
$$\begin{cases} -x_1 + x_2 + 2x_3 - 3x_4 = 0 \\ -x_1 + 3x_2 - 2x_3 - x_4 = 0 \end{cases}$$

$$e_1\downarrow = (1, 0, 0, 0)^T \quad e_2\downarrow = (0, 1, 0, 0)^T$$

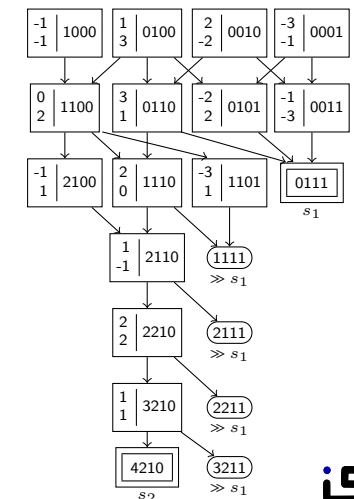
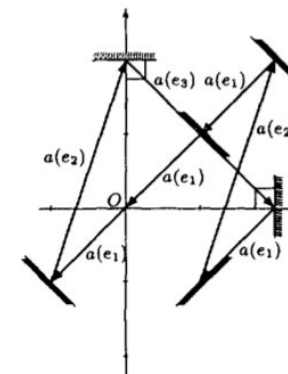
$$e_3\downarrow = (0, 0, 1, 0)^T \quad e_4\downarrow = (0, 0, 0, 1)^T$$

Start:  $\{e_1\downarrow, \dots, e_4\downarrow\}, \emptyset$ .

- 1  $\{v\downarrow\} \cup P', M \implies P', M$ , if  $v\downarrow \gg u\downarrow$  for some  $u\downarrow \in M$ .
- 2  $\{v\downarrow\} \cup P', M \implies P', \{v\downarrow\} \cup M$ , if  $a(v\downarrow) = 0\downarrow$  and rule 1 is not applicable.
- 3  $P, M \implies \{v\downarrow + e_j\downarrow \mid v\downarrow \in P, a(v\downarrow) \cdot a(e_j\downarrow) < 0, j \in 1..n\}, M$ , if rules 1 and 2 are not applicable.



## Contejean-Devie Algorithm on an Example



## Properties of the Algorithm

$a(x\downarrow) = 0\downarrow$ : An  $n$ -variate system of homogeneous LDEs.

$(e_1\downarrow, \dots, e_n\downarrow)$ : The canonical basis of  $\mathbb{N}^n$ .

$\mathcal{B}(a(x\downarrow) = 0\downarrow)$ : Basis in the set of nontrivial natural solutions of  $a(x\downarrow) = 0\downarrow$ .

### Theorem

- The Contejean-Devie algorithm terminates on any input.
- Let  $(e_1\downarrow, \dots, e_n\downarrow), \emptyset \Longrightarrow^* \emptyset, M$  be the sequence of transformations performed by the Contejean-Devie algorithm for  $a(x\downarrow) = 0\downarrow$ . Then

$$\mathcal{B}(a(x\downarrow) = 0\downarrow) = M.$$



## Notation

- $\|x\downarrow\| = \sqrt{x_1^2 + \dots + x_n^2}$ .
- $|(s_1, \dots, s_n)| = s_1 + \dots + s_n$ .



## Completeness

### Theorem

Let  $P_0, M_0 \Longrightarrow^* \emptyset, M$  be the sequence of transformations performed by the Contejean-Devie algorithm for  $a(x\downarrow) = 0\downarrow$  with  $P_0 = (e_1\downarrow, \dots, e_n\downarrow)$  and  $M_0 = \emptyset$ . Then  $\mathcal{B}(a(x\downarrow) = 0\downarrow) \subseteq M$ .

### Proof.

Assume  $s\downarrow \in \mathcal{B}(a(x\downarrow) = 0\downarrow)$  and show that there exists a sequence of vectors

$$v_1\downarrow = e_{j_0}\downarrow \ll \dots \ll v_k\downarrow \ll v_{k+1}\downarrow = v_k\downarrow + e_{j_k}\downarrow \ll \dots \ll v_{|s\downarrow|}\downarrow = s\downarrow$$

such that  $v_i\downarrow \in P_{l_i}$ , where  $P_{l_i}$  is from the given sequence of transformations and  $l_i < l_j$  for  $i < j$ .

## Completeness

### Theorem

Let  $P_0, M_0 \Longrightarrow^* \emptyset, M$  be the sequence of transformations performed by the Contejean-Devie algorithm for  $a(x\downarrow) = 0\downarrow$  with  $P_0 = (e_1\downarrow, \dots, e_n\downarrow)$  and  $M_0 = \emptyset$ . Then  $\mathcal{B}(a(x\downarrow) = 0\downarrow) \subseteq M$ .

### Proof (cont.)

For  $e_{j_0}\downarrow$ , any basic vector  $\ll s\downarrow$  can be chosen. Such basic vectors do exist (since  $s\downarrow \neq 0\downarrow$ ) and are in  $P_0$ . Assume now we have  $v_1\downarrow \ll \dots \ll v_k\downarrow \ll s\downarrow$  with  $v_k\downarrow \in P_{l_k}$ . Then there exists  $s_k\downarrow$  with  $s\downarrow = v_k\downarrow + s_k\downarrow$  and  $0 = \|a(s\downarrow)\|^2 = \|a(v_k\downarrow)\|^2 + \|a(s_k\downarrow)\|^2 + 2a(v_k\downarrow) \cdot a(s_k\downarrow)$ , which implies  $a(v_k\downarrow) \cdot a(s_k\downarrow) < 0$ .



## Completeness

### Theorem

Let  $P_0, M_0 \Longrightarrow^* \emptyset, M$  be the sequence of transformations performed by the Contejean-Devie algorithm for  $a(x\downarrow) = 0\downarrow$  with  $P_0 = (e_1\downarrow, \dots, e_n\downarrow)$  and  $M_0 = \emptyset$ . Then  $\mathcal{B}(a(x\downarrow) = 0\downarrow) \subseteq M$ .

### Proof (cont.)

Hence, there exists  $e_{j_k}\downarrow$  with  $s_k\downarrow \gg e_{j_k}\downarrow$  such that  $a(v_k\downarrow) \cdot a(e_{j_k}\downarrow) < 0$ . We take  $v_{k+1}\downarrow = v_k\downarrow + e_{j_k}\downarrow$ . Then  $s_k\downarrow \gg v_{k+1}\downarrow$  and by rule 3,  $v_{k+1}\downarrow \in P_{l_{k+1}}$ . After  $|s\downarrow|$  steps, we reach  $s$ . Hence,  $s\downarrow \in P_{l_{|s|}}$ . Since  $a(s\downarrow) = 0$ , application of rule 2 moves  $s\downarrow$  to  $M$ .  $\square$



## Soundness

### Theorem

Let  $P_0, M_0 \Longrightarrow^* \emptyset, M$  be the sequence of transformations performed by the Contejean-Devie algorithm for  $a(x\downarrow) = 0\downarrow$  with  $P_0 = (e_1\downarrow, \dots, e_n\downarrow)$  and  $M_0 = \emptyset$ . Then  $M \subseteq \mathcal{B}(a(x\downarrow) = 0\downarrow)$ .

### Proof.

Any  $s\downarrow \in M$  is a solution. Show that it is minimal. Assume it is not:  $s\downarrow = s_1\downarrow + s_2\downarrow$ , where  $s_1\downarrow$  and  $s_2\downarrow$  are non-null solutions smaller than  $s$ . Assume  $s\downarrow$  was obtained during the transformations as  $s\downarrow = v_i\downarrow + e_{j_i}\downarrow$ , where  $v_i\downarrow \in P_i$ . But then  $v_i\downarrow \gg s_1\downarrow$  or  $v_i\downarrow = s_1\downarrow$  or  $v_i\downarrow \gg s_2\downarrow$  or  $v_i\downarrow = s_2\downarrow$  and  $v_i\downarrow$  is greater than an already computed minimal solution. Therefore, it should have been removed from  $P_i$ . A contradiction.  $\square$

## Termination

### Theorem

Let  $v_1\downarrow, v_2\downarrow, \dots$  be an infinite sequence satisfying the Contejean-Devie condition for  $a(x\downarrow) = 0\downarrow$ :

- $u_1$  is a basic vector and for each  $i \geq 1$  there exists  $1 \leq j \leq n$  such that  $a(v_i\downarrow) \cdot a(e_j\downarrow) < 0$  and  $v_{i+1}\downarrow = v_i\downarrow + e_j\downarrow$ .

Then there exist  $v\downarrow$  and  $k$  such that

- $v\downarrow$  is a solution of  $a(x\downarrow) = 0\downarrow$ , and
- $v\downarrow \ll v_k\downarrow$ .



## Non-Homogeneous Case

Non-homogeneous linear Diophantine system with  $m$  equations and  $n$  variables:

$$\begin{cases} a_{11}x_1 + \dots + a_{1n}x_n = b_1 \\ \vdots \\ a_{m1}x_1 + \dots + a_{mn}x_n = b_m \end{cases}$$

- $a$ 's and  $b$ 's are integers.
- Matrix form:  $a(x\downarrow) = b\downarrow$ .



## Non-Homogeneous Case. Solving Idea

Turn the system into a homogeneous one, denoted  $S_0$ :

$$\begin{cases} -b_1x_0 + a_{11}x_1 + \dots + a_{1n}x_n = 0 \\ \vdots \\ -b_mx_0 + a_{m1}x_1 + \dots + a_{mn}x_n = 0 \end{cases}$$

- Solve  $S_0$  and keep only the solutions with  $x_0 \leq 1$ .
- $x_0 = 1$ : a minimal solution for  $a(x\downarrow) = b\downarrow$ .
- $x_0 = 0$ : a minimal solution for  $a(x\downarrow) = 0\downarrow$ .
- Any solution of the non-homogeneous system  $a(x\downarrow) = b\downarrow$  has the form  $x\downarrow + y\downarrow$  where:
  - $x\downarrow$  is a minimal solution of  $a(x\downarrow) = b\downarrow$ .
  - $y\downarrow$  is a linear combination (with natural coefficients) of minimal solutions of  $a(x\downarrow) = 0\downarrow$ .



## Back to ACU-Unification

### Theorem

*The decision problem for ACU-Matching and ACU-unification is NP-complete.*



## Specific vs General Results

For each specific equational theory separately studying

- decidability,
- unification type,
- unification algorithm/procedure.

Can one study these problems for bigger classes of equational theories?



## General Results

In general, unification modulo equational theories

- is undecidable,
- unification type of a given theory is undecidable,
- admits a complete unification procedure (Gallier & Snyder, called an universal  $E$ -unification procedure).



## General Results

Universal  $E$ -unification procedure  $\mathcal{U}_E$ .

Rules:

- **Trivial, Orient, Decomposition, Variable Elimination** from  $\mathcal{U}$ , plus
- **Lazy Paramodulation:**

$$\{e[u]\} \cup P'; S \Longrightarrow \{l \doteq^? u, e[r]\} \cup P'; S,$$

for a fresh variant of the identity  $l \approx r$  from  $E \cup E^{-1}$ , where

- $e[u]$  is an equation where the term  $u$  occurs,
- $u$  is not a variable,
- if  $l$  is not a variable, then the top symbol of  $l$  and  $u$  are the same.



## General Results

Universal  $E$ -unification procedure. Control.

In order to solve a unification problem  $\Gamma$  modulo a given  $E$ :

- Create an initial system  $\Gamma; \emptyset$ .
- Apply successively rules from  $\mathcal{U}_E$ , building a complete tree of derivations.
- No other inference rule may be applied to the equation  $l \doteq^? u$  that is generated by the Lazy Paramodulation rule before it is subjected to a Decomposition step.



## General Results

### Example

$E = \{f(a, b) \approx a, a \approx b\}$ .

Unification problem:  $\{f(x, x) \doteq^?_E x\}$ .

Computing a unifier  $\{x \mapsto a\}$  by the universal procedure:

$$\begin{aligned} \{f(x, x) \doteq^?_E x\}; \emptyset &\Longrightarrow_{LP} \{f(a, b) \doteq^?_E f(x, x), a \doteq^?_E x\}; \emptyset \\ &\Longrightarrow_D \{a \doteq^?_E x, b \doteq^?_E x, a \doteq^?_E x\}; \emptyset \\ &\Longrightarrow_O \{x \doteq^?_E a, b \doteq^?_E x, a \doteq^?_E x\}; \emptyset \\ &\Longrightarrow_S \{b \doteq^?_E a, a \doteq^?_E a\}; \{x \doteq a\} \\ &\Longrightarrow_{LP} \{a \doteq^?_E a, b \doteq^?_E b, a \doteq^?_E a\}; \{x \doteq a\} \\ &\Longrightarrow^+_T \emptyset; \{x \doteq a\} \end{aligned}$$

## General Results

Pros and cons of the universal procedure:

- Pros: Is sound and complete. Can be used for any  $E$ .
- Cons: Very inefficient. Usually does not yield a decision procedure or a (minimal)  $E$ -unification algorithm even for unitary or finitary theories with decidable unification.



## General Results

More useful results can be obtained by imposing additional restrictions on equational theories:

- Syntactic approaches: Restricting syntactic form of the identities defining equational theories.
- Semantic approaches: Depend on properties of the free algebras defined by the equational theory.



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## Summary

- Syntactic unification and matching.
  - Unification and matching algorithms.
  - Unification on term graphs, algorithms with improved complexity.
- Equational unification and matching
  - Classification with respect to unification type.
  - Algorithms for commutative and ACU-unification, including solving systems of linear Diophantine equations.
  - Universal  $E$ -unification procedure.



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## ISR 2012 sponsors



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