

# Proving Propositions

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## Overview

- Motivation and Preliminaries
- General Strategies
- Decomposing the Goal
- Deriving New Knowledge

## Motivation and Preliminaries

## Motivation

**Definition:** a proof is a structured argument that a proposition is true.

- You claim that a formula is a (true) proposition.
  - You **believe** that it is true.
- You want to convince yourself about this.
  - You want to **make sure** that it is true.
- You want to convince someone else about this.
  - You want to make a skeptic opponent **admit** that it is true.

Proving is the art of (scientifically) arguing.

## Proof Rules

- Collection of proof rules.
  - Based on the **syntactic structure** of formulas.
  - Can decide whether application is correct by looking at **syntax**.
- Inventing a proof.
  - **Creative** (non-algorithmic) activity.
  - Proof rules provide a mental skeleton and give some guidelines.
  - Ultimately, some **insight** is required.
- Checking a proof.
  - **Mechanical** (algorithmic) activity.
  - Proof rules determine the framework.
  - Everyone is able to read and check a proof.

**Every scientist and engineer should understand these rules.**

## Proof Levels

A proof can be given on various levels of detail.

- Lowest level (most details).
  - Very small reasoning steps.
  - Correctness can be checked by **computer program**.
  - Proofs become very large.
- Higher level (fewer details).
  - Larger reasoning steps.
  - Proof becomes shorter and manageable by humans.
  - Each step can be decomposed into finer steps.

A high-level proof is a map of a (more detailed but larger) low-level proof; it can be refined on demand.

## Knowledge

A proof is relative to given **knowledge**.

- Axioms (characterization of the considered domain),
- Definitions (a “harmless” extension of the domain),
- Tautologies (true propositions in every domain),
- Propositions (formulas that have been previously proved),
- Assumptions (knowledge gradually added in a proof).

## Proof Situations

**Definition:** a **proof situation** consists of available knowledge  $K$  (a set of formulas assumed true) and the goal  $G$  (a formula to be proved).

$$\begin{array}{|c|} \hline K \\ \hline G \\ \hline \end{array}$$

“We (have to) prove  $G$  with knowledge  $K$ .”

- The knowledge available in a particular situation is typically **not** explicitly written down.
- Knowledge at the beginning of the proof extended by all definitions and assumptions in the proof branch that led to the situation.



## Proof Rule

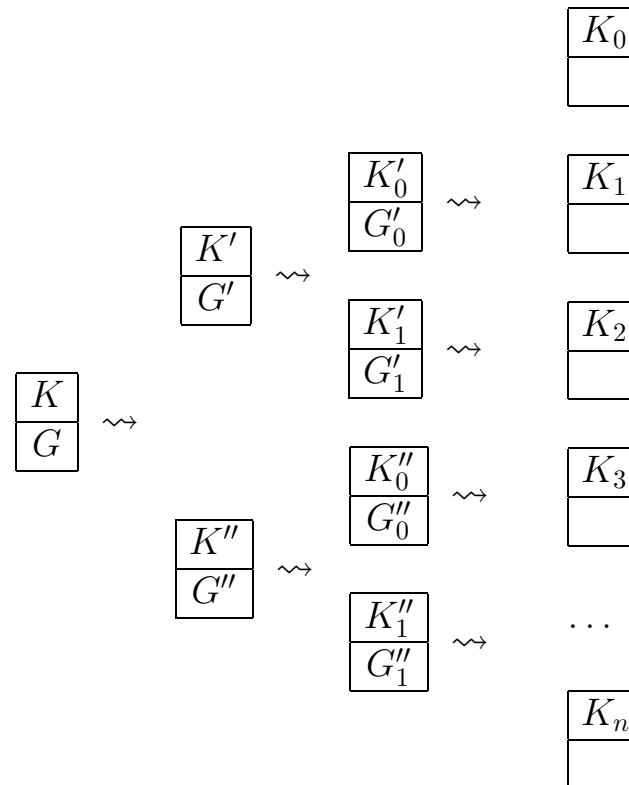
**Definition:** a **proof rule** reduces a proof situation to one or more other situations.

$$\boxed{\begin{array}{c} K_0 \\ G_0 \end{array}} \rightsquigarrow \boxed{\begin{array}{c} K_1 \\ G_1 \end{array}}$$

“In order to prove  $G_0$  with knowledge  $K_0$  it suffices to prove  $G_1$  with knowledge  $K_1$ ”.

**Definition:** a **proof** is the reduction of the start situation to other situations that are again reduced to other situations until we have only situations in which nothing is left to be proved.

# Proof Tree



## Proof Completion

**Proposition:** For proving with knowledge  $K \cup \{G\}$  the goal  $G$ , nothing has to be done any more.

$$\frac{K \cup \{G\}}{G} \rightsquigarrow \frac{K \cup \{G\}}{}$$

The only rule to terminate a proof branch.

## General Strategies

## General Strategies

- Direct proofs
  - Try to prove the goal.
  - Try to prove the negation of the goal.
- Indirect proofs.
  - Assume the goal does not hold and derive a contradiction.
  - Assume the goal does hold and derive a contradiction.

Two basic approaches in two variants.

## Direct Proof

Given some knowledge  $K$  and a goal  $G$ .

1. We try  $\frac{K}{G}$ . If we are successful, then  $G$  holds.
2. We try  $\frac{K}{\neg G}$ . If we are successful, then  $\neg G$  holds.

We do not know in advance whether  $G$  is true!

## Contradictions

**Proposition:** For proving with knowledge  $K$  the goal  $G$ , it suffices to prove  $F(\text{alse})$  with additional knowledge  $\neg G$ .

$$\frac{K}{G} \rightsquigarrow \frac{K \cup \{\neg G\}}{F(\text{alse})}$$

“We assume  $\neg G$  and show a contradiction”.

Try to derive a contradiction.

## Contradiction

A contradiction is usually derived by establishing a proof situation

$$\frac{K \cup \{G, \neg G\}}{F(\text{alse})}$$

because we then immediately have

$$\frac{K \cup \{G, \neg G\}}{F(\text{alse})} \rightsquigarrow \frac{K \cup \{F(\text{alse})\}}{F(\text{alse})} \rightsquigarrow \frac{K \cup \{F(\text{alse})\}}{} .$$

Try to prove a formula that contradicts some given knowledge.



## Example

We show

$$\forall x \in \mathbb{Q} : x * x \neq 2.$$

Take arbitrary  $x \in \mathbb{Q}$ . We assume (1)  $x * x = 2$  and show a contradiction.

From the construction of  $\mathbb{Q}$ , we know  $x = \frac{a}{b}$  for some  $a \in \mathbb{Z}$  and  $b \in \mathbb{Z}_{>0}$  such that (2)  $N(a)$  and  $N(b)$  are relatively prime. We have  $\frac{a *_{\mathbb{Z}} a}{b *_{\mathbb{Z}} b} = 2$  and thus (from now on we operate in  $\mathbb{Z}$  and drop the corresponding subscripts):

$$(3) \quad a * a = 2 * b * b.$$

From (3) we know  $N(2) | N(a * a)$  and thus also (4)  $N(2) | N(a)$  (a proposition that has to be proved extra). Therefore there exists some  $c \in \mathbb{Z}$  such that

$$(5) \quad a = 2 * c.$$

From (3) and (5) we have  $2 * c * 2 * c = 2 * b * b$ , i.e.,  $2 * c * c = b * b$ , thus (6)  $N(2) | N(b * b)$  and therefore (7)  $N(2) | N(b)$ . (4) and (7) contradict (2).

## Indirect Proof

Given some knowledge  $K$  and a goal  $G$ .

1. We try  $\frac{K \cup \{\neg G\}}{F(\text{alse})}$ . If we are successful, then  $G$  holds.

2. We try  $\frac{K \cup \{G\}}{F(\text{alse})}$ . If we are successful, then  $\neg G$  holds.

We will see some examples for special situations.

## Proof Directions

1. **Top-Down:** decomposing the goal into simpler formulas with corresponding subproofs.

$$\frac{K}{G} \rightsquigarrow \frac{K_0}{G_0} \cdots \frac{K_{n-1}}{G_{n-1}}$$

2. **Bottom-Up:** deriving new knowledge from the given knowledge such that the goal ultimately becomes part of the knowledge.

$$\frac{K}{G} \rightsquigarrow \frac{K \cup \{F\}}{G}$$

We usually begin with the top-down strategy.

## Decomposing the Goal

## Decomposing the Goal

- Decomposition of universally quantified formulas,
- Decomposition of existentially quantified formulas,
- Decomposition of equivalences,
- Decomposition of implications,
- Decomposition of conjunctions,
- Decomposition of disjunctions.
- Inserting predicate and function definitions.

Decompositon determined by outermost quantifier/connective.

## Decomposition of Universally Quantified Formulas

**Proposition:** For proving with knowledge  $K$  the goal  $\forall x : G$ , it suffices to prove  $G[x \leftarrow a]$  where  $a$  is an object constant that does not appear in  $K$  and not in  $G$ .

$$\frac{K}{\forall x : G} \rightsquigarrow \frac{K}{G[x \leftarrow a]} \quad (a \text{ not in } K \cup \{G\})$$

“We prove  $(\forall x : G)$ . We take an arbitrary (but fixed) constant  $a$  and show  $G[x \leftarrow a]$ .”

No knowledge is available about constant yet.

## Typical Constant Names

- Choose a constant name that reflects the name of the variable.

“We prove  $(\forall x : G)$ . We take an arbitrary constant  $x_0$  and show  $G[x \leftarrow x_0]$ .”

- Choose the variable name itself as the constant name.

“We prove  $(\forall x : G)$ . We take an arbitrary constant  $x$  and show  $G$ .”

“We prove  $(\forall x : G)$ . Take arbitrary  $x$ . Then ... (proof of  $G$ ).

**Constant name must not yet appear in knowledge or goal!**

## Example

We show

$$\forall x \in \mathbb{Z}, y \in \mathbb{Z} : x + y = y + x.$$

Take arbitrary  $x_0 \in \mathbb{Z}, y_0 \in \mathbb{Z}$ . We have to show

$$x_0 + y_0 = y_0 + x_0.$$

We know

$$\begin{aligned} x_0 + y_0 &= (\text{definition of } +) \\ I(x_0 +_{\mathbb{N}} y_0, x_1 +_{\mathbb{N}} y_1) &= (\text{commutativity of } +_{\mathbb{N}}) \\ I(y_0 +_{\mathbb{N}} x_0, y_1 +_{\mathbb{N}} x_1) &= (\text{definition of } +) \\ y_0 + x_0. \end{aligned}$$



## Example

We show

$$\forall x \in \mathbb{Z}, y \in \mathbb{Z} : x + y = y + x.$$

Take arbitrary  $x \in \mathbb{Z}, y \in \mathbb{Z}$ . We have

$$\begin{aligned} x + y &= (\text{definition of } +) \\ I(x +_{\mathbb{N}} y, x +_{\mathbb{N}} y) &= (\text{commutativity of } +_{\mathbb{N}}) \\ I(y +_{\mathbb{N}} x, y +_{\mathbb{N}} x) &= (\text{definition of } +) \\ & y + x. \end{aligned}$$

More typical version.

## Indirect Method for Universal Formulas

Instead of proving  $(\forall x : G)$  we assume  $(\neg \forall x : G)$ , i.e.,  $(\exists x : \neg G)$  and proceed to derive a contradiction:

$$\frac{K}{\forall x : G} \rightsquigarrow \frac{K \cup \{\exists x : \neg G\}}{F(\text{alse})} \left( \rightsquigarrow \frac{K \cup \{\neg G[x \leftarrow a]\}}{F(\text{alse})} \right)$$

“We prove  $(\forall x : G)$ . Assume  $\neg G$  for some  $x$ . Then ... (derivation of a contradiction with additional knowledge  $\neg G$ ).”

We will see later how to work with existential formulas in knowledge.

## Decomposition of Existential Quantifications

**Proposition:** For proving with knowledge  $K$  the goal  $\exists x : G$ , it suffices to prove  $G[x \leftarrow T]$  for some term  $T$ .

$$\frac{K}{\exists x : G} \rightsquigarrow \frac{K}{G[x \leftarrow T]}$$

“We have to prove  $(\exists x : G)$ . We prove  $G[x \leftarrow T]$ ”.

We have to find a witness, i.e., a value for  $x$  that makes  $G$  true.

## Typical Use

- Introduce a new constant name

“We have to prove  $(\exists x : G)$ . Take  $a := T$ . We prove  $G[x \leftarrow a]$ ”.

- Use the variable name as the constant name

“We have to prove  $(\exists x : G)$ . Take  $x := T$ . We then have ... (proof of  $G$  with additional knowledge  $x = T$ ).”

Constant name must not yet appear in knowledge or goal!

## Example

**Proposition:** Between any two rational numbers, there is another rational number:

$$\forall x \in \mathbb{Q}, y \in \mathbb{Q} : x < y \Rightarrow \exists z \in \mathbb{Q} : x < z < y.$$

**Proof:** Take arbitrary  $x \in \mathbb{Q}$  and  $y \in \mathbb{Q}$  with  $x < y$ . Then  $x < (x + y)/2 < y$  because ...

**Proof:** Take arbitrary  $x \in \mathbb{Q}$  and  $y \in \mathbb{Q}$  with  $x < y$ . Let  $a := (x + y)/2$ . Then  $x < a < y$  because ...

**Proof:** Take arbitrary  $x \in \mathbb{Q}$  and  $y \in \mathbb{Q}$  with  $x < y$ . Let  $z := (x + y)/2$ . Then  $x < z < y$  because ...

## Indirect Method for Existential Formulas

Instead of proving  $(\exists x : G)$ , we assume  $(\neg \exists x : G)$ , i.e.,  $(\forall x : \neg G)$  and deriving a contradiction:

$$\frac{K}{\exists x : G} \rightsquigarrow \frac{K \cup \{\forall x : \neg G\}}{F(\text{alse})}.$$

“We prove  $(\exists x : G)$ . Assume  $(\forall x : \neg G)$ . Then ... (derivation of a contradiction with additional knowledge  $(\forall x : \neg G)$ ).”

We will see later how to work with universal formulas in knowledge.

## Decomposition of Equivalences

**Proposition:** For proving with knowledge  $K$  the goal  $G_0 \Leftrightarrow G_1$ , it suffices to prove both  $G_0 \Rightarrow G_1$  and  $G_1 \Rightarrow G_0$ :

$$\boxed{\begin{array}{c} K \\ \hline G_0 \Leftrightarrow G_1 \end{array}} \rightsquigarrow \boxed{\begin{array}{c} K \\ \hline G_0 \Rightarrow G_1 \end{array}} \boxed{\begin{array}{c} K \\ \hline G_1 \Rightarrow G_0 \end{array}}$$

An equivalence is shown by proving the implication “from left to right” and “from right to left”

## Typical Use

We prove  $G_0 \Leftrightarrow G_1$ :

- $\Rightarrow$ : ... (proof of  $G_0 \Rightarrow G_1$ ).
- $\Leftarrow$ : ... (proof of  $G_1 \Rightarrow G_0$ ).

We prove  $G_0 \Leftrightarrow G_1 \Leftrightarrow G_2$ , i.e.,  $(G_0 \Leftrightarrow G_1) \wedge (G_1 \Leftrightarrow G_2)$ :

- $G_0 \Rightarrow G_1$ ,
- $G_1 \Rightarrow G_2$ ,
- $G_2 \Rightarrow G_0$ .

Traverse the “implication circle” !



## Example

**Proposition:** For every  $x$  and  $y$ , we have

$$x = y \Leftrightarrow (x \subseteq y \wedge y \subseteq x).$$

**Proof:** Take arbitrary  $x$  and  $y$ . We prove  $x = y \Leftrightarrow (x \subseteq y \wedge y \subseteq x)$ .

- We prove  $x = y \Rightarrow (x \subseteq y \wedge y \subseteq x)$ . ...
- We prove  $(x \subseteq y \wedge y \subseteq x) \Rightarrow x = y$ . ...

## Decomposition of Implications

For proving with knowledge  $K$  the goal  $G_0 \Rightarrow G_1$ , it suffices to prove  $G_1$  with additional knowledge  $G_0$ :

$$\frac{K}{G_0 \Rightarrow G_1} \rightsquigarrow \frac{K \cup \{G_0\}}{G_1}$$

“We show  $G_0 \Rightarrow G_1$ . Assume  $G_0$ . Then ... (proof of  $G_1$  with additional knowledge  $G_0$ ).”

Add the hypothesis to the knowledge and prove the conclusion.

## Example

**Proposition:** For every  $x$  and  $y$ , we have

$$x = y \Leftrightarrow (x \subseteq y \wedge y \subseteq x).$$

**Proof:** Take arbitrary  $x$  and  $y$ . We prove  $x = y \Leftrightarrow (x \subseteq y \wedge y \subseteq x)$ .

- We prove  $x = y \Rightarrow (x \subseteq y \wedge y \subseteq x)$ . Assume  $x = y$ , i.e., by definition of '=',

$$(1) \quad \forall z : z \in x \Leftrightarrow z \in y.$$

We prove  $x \subseteq y \wedge y \subseteq x$ . ...

• ...

## Alternative

Because of  $(G_0 \Rightarrow G_1)$  iff  $(\neg G_1 \Rightarrow \neg G_0)$ , it suffices to prove

$$\frac{K}{G_0 \Rightarrow G_1} \rightsquigarrow \frac{K \cup \{\neg G_1\}}{\neg G_0}$$

“We show  $G_0 \Rightarrow G_1$ . Assume  $\neg G_1$ . Then ... (proof of  $\neg G_0$  with additional knowledge  $\neg G_1$ ).”

Reverse the direction of the implication.

## Indirect Method for Implications

Because of  $\neg(G_0 \Rightarrow G_1)$  iff  $(G_0 \wedge \neg G_1)$ , it suffices to prove

$$\frac{K}{G_0 \Rightarrow G_1} \rightsquigarrow \frac{K \cup \{G_0 \wedge \neg G_1\}}{\text{F(false)}}$$

“We have to show  $G_0 \Rightarrow G_1$ . Assume  $G_0 \wedge \neg G_1$ . Then we have ... (derivation of a contradiction)”.

Add the hypothesis and the negation of the conclusion to the knowledge and derive a contradiction.

## Decomposition of Conjunctions

**Proposition:** For proving with knowledge  $K$  the goal  $G_0 \wedge G_1$ , it suffices to prove both  $G_0$  and  $G_1$ :

$$\frac{K}{G_0 \wedge G_1} \rightsquigarrow \frac{K}{G_0} \quad \frac{K}{G_1}$$

We have to show  $G_0 \wedge G_1$ .

1. . . . (proof of  $G_0$ ).
2. . . . (proof of  $G_1$ ).

A conjunction is shown by showing both conjuncts in turn.

## Example

**Proposition:** For every  $x$  and  $y$ , we have

$$x = y \Leftrightarrow (x \subseteq y \wedge y \subseteq x).$$

**Proof:** Take arbitrary  $x$  and  $y$ . We prove  $x = y \Leftrightarrow (x \subseteq y \wedge y \subseteq x)$ .

- We prove  $x = y \Rightarrow (x \subseteq y \wedge y \subseteq x)$ . Assume  $x = y$ . We have to prove  $x \subseteq y \wedge y \subseteq x$ .
  - We prove  $x \subseteq y$ . ...
  - We prove  $y \subseteq x$ . ...
- ...

## Indirect Method for Conjunctions

Because of  $\neg(G_0 \wedge G_1)$  iff  $\neg G_0 \vee \neg G_1$ , the **indirect method** leads to

$$\begin{array}{|c|} \hline K \\ \hline G_0 \wedge G_1 \\ \hline \end{array} \rightsquigarrow \begin{array}{|c|} \hline K \cup \{\neg G_0 \vee \neg G_1\} \\ \hline \text{F(false)} \\ \hline \end{array} \rightsquigarrow \begin{array}{|c|} \hline K \cup \{\neg G_0\} \\ \hline \text{F(false)} \\ \hline \end{array} \begin{array}{|c|} \hline K \cup \{\neg G_1\} \\ \hline \text{F(false)} \\ \hline \end{array}$$

We have to prove  $G_0 \wedge G_1$ .

- Assume  $\neg G_0$ . Then ... (derivation of a contradiction.)
- Assume  $\neg G_1$ . Then ... (derivation of a contradiction.)

We will see later this technique of “case distinction”.



## Decomposition of Disjunctions

**Proposition:** For proving with knowledge  $K$  the goal  $G_0 \vee G_1$ , it suffices to prove  $G_1$  with additional knowledge  $\neg G_0$ :

$$\frac{K}{G_0 \vee G_1} \rightsquigarrow \frac{K \cup \{\neg G_0\}}{G_1}$$

“We have to show  $G_0 \vee G_1$ . Assume  $\neg G_0$ . Then ... (proof of  $G_1$ ).”

- Consequence of “ $(G_0 \vee G_1)$  iff  $(\neg G_0 \Rightarrow G_1)$ ”.
- Roles of  $G_0$  and  $G_1$  can be inverted.

Same techniques as for decomposition of implications.

## Explicitly Defined Predicates

**Proposition:** For proving an atomic formula  $p(a_0, \dots, a_{n-1})$  with

$$p(x_0, \dots, x_{n-1}) :\Leftrightarrow G,$$

it suffices to prove  $G[x_0 \leftarrow a_0, \dots, x_{n-1} \leftarrow a_{n-1}]$ :

$K \cup \{\forall x_0, \dots, x_{n-1} : p(x_0, \dots, x_{n-1}) \Leftrightarrow G\}$	$\rightsquigarrow$
$p(a_0, \dots, a_{n-1})$	

$K \cup \{\forall x_0, \dots, x_{n-1} : p(x_0, \dots, x_{n-1}) \Leftrightarrow G\}$
$G[x_0 \leftarrow a_0, \dots, x_{n-1} \leftarrow a_{n-1}]$

Insert the definition of the predicate!

## Example

**Proposition:** For every  $x$  and  $y$ , we have

$$x = y \Leftrightarrow (x \subseteq y \wedge y \subseteq x).$$

**Proof:** Take arbitrary  $x$  and  $y$ . We prove  $x = y \Leftrightarrow (x \subseteq y \wedge y \subseteq x)$ .

- We prove  $x = y \Rightarrow (x \subseteq y \wedge y \subseteq x)$ . Assume  $x = y$ . We have to prove  $x \subseteq y \wedge y \subseteq x$ .
  - We prove  $x \subseteq y$ , i.e., by definition of ' $\subseteq$ ',  $\forall z \in x : z \in y$ . ...
  - ...
- ...

## Explicitly Defined Functions

**Proposition:** For proving the goal  $G[x \leftarrow F(a_0, \dots, a_{n-1})]$  with

$$F(x_0, \dots, x_{n-1}) := T,$$

it suffices to prove  $G[x \leftarrow T[x_0 \leftarrow a_0, \dots, x_{n-1} \leftarrow a_{n-1}]]$ :

$$\frac{K \cup \{\forall x_0, \dots, x_{n-1} : F(x_0, \dots, x_{n-1}) = T\}}{G[x \leftarrow F(a_0, \dots, a_{n-1})]} \rightsquigarrow$$

$$\frac{K \cup \{\forall x_0, \dots, x_{n-1} : F(x_0, \dots, x_{n-1}) = T\}}{G[x \leftarrow T[x_0 \leftarrow a_0, \dots, x_{n-1} \leftarrow a_{n-1}]]}$$

Insert the definition of the function!

## Example

We prove

$$\forall x : x' \neq 0.$$

Take arbitrary  $x$ . By definition of  $0$  and  $'$ , it suffices to prove

$$x \cup \{x\} \neq \emptyset$$

which is true because  $x \in (x \cup \{x\})$  but  $x \neq \emptyset$ .

## Deriving New Knowledge

## Proof by Case Distinction

**Proposition:** For proving with knowledge  $K$  the goal  $G$ , it suffices to prove  $G$  with additional knowledge  $F$  and to prove  $G$  with additional knowledge  $\neg F$  (for some formula  $F$ ).

$$\frac{K}{G} \rightsquigarrow \frac{K \cup \{F\}}{G} \quad \frac{K \cup \{\neg F\}}{G}$$

We have to prove  $G$ .

1. Assume  $F$ . Then ... (proof of  $G$  with additional knowledge  $F$ ).
2. Assume  $\neg F$ . Then ... (proof of  $G$  with additional knowledge  $\neg F$ ).

Decompose the universe of situations by an assumption.

## Example

We prove  $\forall x \in \mathbb{R} : x * x \neq -1$ . Take arbitrary  $x \in \mathbb{R}$ .

- If  $x \geq 0$ , then  $x * x \geq 0$ .
- If  $x < 0$ , then also  $x * x \geq 0$ .



## Typical Use

We have a formula  $(F_0 \vee \dots \vee \dots F_{n-1})$  in our knowledge:

$$\frac{K \cup \{F_0 \vee \dots \vee F_{n-1}\}}{G} \rightsquigarrow \frac{K \cup \{F_0\}}{G} \dots \frac{K \cup \{F_{n-1}\}}{G}$$

We have to prove  $G$ . Since we know  $(F_0 \vee \dots \vee F_{n-1})$ , it suffices to consider the following cases:

- Case  $F_0$ : ... (proof of  $G$  with additional knowledge  $F_0$ ).
- ...
- Case  $F_{n-1}$ : ... (proof of  $G$  with additional knowledge  $F_{n-1}$ ).

## Universal Quantification in Knowledge

**Proposition:** For proving with knowledge  $K \cup \{\forall x : F\}$  the goal  $G$ , it suffices to prove  $G$  with additional knowledge  $F[x \leftarrow T]$  for any term  $T$ :

$$\frac{K \cup \{\forall x : F\}}{G} \rightsquigarrow \frac{K \cup \{\forall x : F, F[x \leftarrow T]\}}{G}$$

“We have to prove  $G$ . Since we know  $(\forall x : F)$ , we have  $F[x \leftarrow T]$  and thus ... (proof of  $G$  with additional knowledge  $F[x \leftarrow T]$ ).”

A formula  $(\forall x : F)$  in the knowledge is a machine that takes any  $T$  and produces additional knowledge  $F[x \leftarrow T]$ .

## Example

**Proposition:** For every  $x$  and  $y$ , we have

$$x = y \Leftrightarrow (x \subseteq y \wedge y \subseteq x).$$

**Proof:** Take arbitrary  $x$  and  $y$ . We prove  $x = y \Leftrightarrow (x \subseteq y \wedge y \subseteq x)$ .

- We prove  $x = y \Rightarrow (x \subseteq y \wedge y \subseteq x)$ . Assume  $x = y$ , i.e.,

$$(1) \quad \forall z : z \in x \Leftrightarrow z \in y.$$

We have to prove  $x \subseteq y \wedge y \subseteq x$ .

- We prove  $x \subseteq y$ , i.e., by definition of ' $\subseteq$ ',  $\forall w \in x : w \in y$ . Take arbitrary  $w$ . We have to prove  $w \in x \Rightarrow w \in y$ . Assume (2)  $w \in x$ . We have to prove  $w \in y$  which is a consequence of (1) (which gives us  $w \in x \Leftrightarrow w \in y$ ) and (2).
- ...

## Existential Quantification in Knowledge

**Proposition:** For proving with knowledge  $K \cup \{\exists x : F\}$  the goal  $G$ , it suffices to prove  $G$  with additional knowledge  $F[x \leftarrow a]$  for some object constant  $a$  that does not appear in  $K$ ,  $G$ , or  $F$ :

$$\frac{K \cup \{\exists x : F\}}{G} \rightsquigarrow \frac{K \cup \{\exists x : F, F[x \leftarrow a]\}}{G} \quad (a \text{ not in } K, G, F)$$

“We prove  $G$ . Since we know  $(\exists x : F)$ , we have have some  $a$  with  $F[x \leftarrow a]$ . Thus ... (proof of  $G$  with new knowledge  $F[x \leftarrow a]$ ).”

A formula  $(\exists x : F)$  in the knowledge base is an “engine” which returns a new constant  $a$  about which we know (only)  $F[x \leftarrow a]$ .

## Example

Take arbitrary  $A, B, C$ ,  $f : A \xrightarrow{\text{partial}} B$ , and  $g : B \rightarrow C$ . We prove (1)  $(f \circ g) : A \xrightarrow{\text{partial}} C$ . i.e., by definition of  $\xrightarrow{\text{partial}}$ , that

$$(3) (f \circ g) \subseteq A \times C;$$

$$(4) \forall x, y_0, y_1 : (\langle x, y_0 \rangle \in (f \circ g) \wedge \langle x, y_1 \rangle \in (f \circ g)) \Rightarrow y_0 = y_1.$$

We know (3) from the definition of  $\circ$ ; we still have to show (4). Take arbitrary  $x, y_0, y_1$  and assume

$$(5) \langle x, y_0 \rangle \in (f \circ g);$$

$$(6) \langle x, y_1 \rangle \in (f \circ g).$$

We have to show  $y_0 = y_1$ .

From (5), (6), and the definition of  $\circ$ , we know  $y_0 \in C$ ,  $y_1 \in C$ , and

$$(7) \exists b \in B : \langle x, b \rangle \in f \wedge \langle b, y_0 \rangle \in g;$$

$$(8) \exists b \in B : \langle x, b \rangle \in f \wedge \langle b, y_1 \rangle \in g.$$

By (7), we have some  $b_0 \in B$  such that  $\langle x, b_0 \rangle \in f \wedge \langle b_0, y_0 \rangle \in g$ ; by (8), we have some  $b_1 \in B$  such that  $\langle x, b_1 \rangle \in f \wedge \langle b_1, y_0 \rangle \in g$ . ...

## Additional Knowledge

**Proposition:** For proving with knowledge  $K$  the goal  $G$ , it suffices to prove  $G$  with additional knowledge  $F$ , if  $F$  holds in every domain in which (some of) the formulas in  $K$  holds.

$$\frac{K}{G} \rightsquigarrow \frac{K \cup \{F\}}{G} \quad (F \text{ holds in every domain in which } K \text{ holds}).$$

Derive new knowledge  $F$  from (a subset of)  $K$ .

## Infering Additional Knowledge

This rule is a “placeholder” for a number of ways to infer  $\frac{K}{F}$  :

1. This has been shown in a previous proof or is shown as a subproof.
2. This holds because  $F$  is a **propositional consequence** of  $K$ , i.e., the conclusion holds independently of the truth values of the atomic formulas and quantified formulas contained in  $K$  and  $F$ .
3. This is an instance of some **quantifier consequence** which give true conclusions in every domain.
4. This is derived by applying **substitution** rules from known equalities and equivalences.

## Propositional Consequences

The following conclusions are propositional consequences for every formula  $A$  and  $B$ :

### Negation

$\neg\neg A$	$A$
$A$	$\neg\neg A$

### And Introduction and Or Elimination

$A \wedge B$	$A$
$A$	$A \vee B$



## Propositional Consequences (Continued)

### De Morgan

$\neg(A \wedge B)$	$\neg(A \vee B)$	$\neg A \vee \neg B$	$\neg A \wedge \neg B$
$\neg A \vee \neg B$	$\neg A \wedge \neg B$	$\neg(A \wedge B)$	$\neg(A \vee B)$

### Modus Ponens

$A, A \Rightarrow B$
$B$

### Contraposition

$A \Rightarrow B$	$\neg A \Rightarrow \neg B$	$A \Leftrightarrow B$	$\neg A \Leftrightarrow \neg B$
$\neg B \Rightarrow \neg A$	$B \Rightarrow A$	$\neg A \Leftrightarrow \neg B$	$A \Leftrightarrow B$

## Tautologies

**Definition:** A propositional formula with variables as subformulas is a (propositional) tautology if it is true for every assignment of truth values to the variables.

Example:  $A \vee \neg A$  is a tautology.

Consequence: A general strategy to show that  $\frac{A}{B}$  is a propositional consequence is to show that  $A \Rightarrow B$  is a propositional tautology.

## Example

We show that the following is a tautology:

$$((A \vee B) \wedge (A \Rightarrow C) \wedge (B \Rightarrow C)) \Rightarrow C.$$

We assume that its truth value is false and then derive a contradiction:

$$\begin{array}{c}
 \text{false} \\
 \hline
 \text{true} \\
 \hline
 \begin{array}{ccccc}
 \text{true} & \text{true} & \text{true} & & \\
 \hline
 \text{true} & \text{false} & \text{false} & \text{false} & \text{false} \\
 \hline
 ((A \vee B) \wedge (\overline{A} \Rightarrow \overline{C}) \wedge (\overline{B} \Rightarrow \overline{C})) \Rightarrow \overline{C}
 \end{array}
 \end{array}$$

Because the implication is false,  $C$  is false and the conjuncts are true. Thus  $A$  and  $B$  must be false. Therefore  $A \vee B$  is false, which contradicts above derivation.

## Quantifier Consequences

For every formula  $A$  and  $B$ , the following conclusions hold:

### Universal Quantification and Conjunction

$(\forall x : A \wedge B)$	$(\forall x : A) \wedge (\forall x : B)$
$(\forall x : A) \wedge (\forall x : B)$	$(\forall x : A \wedge B)$

### Existential Quantification and Disjunction

$(\exists x : A \vee B)$	$(\exists x : A) \vee (\exists x : B)$
$(\exists x : A) \vee (\exists x : B)$	$(\exists x : A \vee B)$

## Quantifier Consequences (Continued)

### Universal and Disjunction, Existential and Conjunction

$(\forall x : A) \vee (\forall x : B)$	$(\exists x : A \wedge B)$
$(\forall x : A \vee B)$	$(\exists x : A) \wedge (\exists x : B)$

### Universal and Existential Quantification

$\exists x : \forall y : A$
$\forall y : \exists x : A$

## Quantifier Consequences (Continued)

### De Morgan Laws

$\neg \forall x : A$	$\exists x : \neg A$	$\neg \exists x : A$	$\forall x : \neg A$
$\exists x : \neg A$	$\neg \forall x : A$	$\forall x : \neg A$	$\neg \exists x : A$

### Such Quantifier

$\exists x : A$
$A[x \leftarrow \mathbf{such} \ x : A]$
$(\forall y_0, y_1 : (A[x \leftarrow y_0] \wedge A[x \leftarrow y_1]) \Rightarrow y_0 = y_1)$
$(\forall x : A \Rightarrow x = \mathbf{such} \ x : A)$

## Example

We show for arbitrary formula  $A$

$$(\neg \forall x : A) \Rightarrow (\exists x : \neg A)$$

by showing (contraposition)

$$(\neg \exists x : \neg A) \Rightarrow (\neg \neg \forall x : A)$$

i.e. (propositional consequence and substitution, see next subsection)

$$(\neg \exists x : \neg A) \Rightarrow (\forall x : A).$$

We assume  $(*) \neg \exists x : \neg A$  and show  $\forall x : A$ . Take arbitrary and assume  $\neg A$ . Then we have  $(\exists x : \neg A)$  which contradicts  $(*)$ .

## Substitutions

For all terms  $S$  and  $T$ , formulas  $A$  and  $B$ , variables  $x$  and formula patterns  $C$  with variable  $F$ , the following holds:

## Equality Substitutions

$S = T \wedge A[x \leftarrow S]$
$A[x \leftarrow T]$

## Equivalence Substitutions

$A \Leftrightarrow B \wedge C[F \leftarrow A]$
$C[F \leftarrow B]$

Replace “equal things by equal things”, e.g., insert definitions.



## Summary

- Proving versus disproving.
- Direct method versus indirect method.
- Top-down decomposition.
  - Outermost quantifier/connective.
  - Inserting definitions.
- Deriving new knowledge.
  - Case distinctions.
  - Application of universally/existentially formulas in knowledge.
  - Propositional tautologies.
  - Quantifier consequences.
  - Substitutions.