

# Chapter 3

## Algebraic sets and varieties

### 3.1 Affine Space and Algebraic Sets

Throughout this chapter let  $K$  be a field.

**Def. 3.1.1.** The  $n$ -dimensional affine space over  $K$  is defined as

$$\mathbb{A}^n(K) := \{ (a_1, \dots, a_n) \mid a_i \in K \}.$$

If  $K$  is clear from context, we simply write  $\mathbb{A}^n$ . The elements of  $\mathbb{A}^n$  are called *points*.  $\mathbb{A}^1$  is called the *affine line*, and  $\mathbb{A}^2$  is called the *affine plane*.  $\square$

**Def. 3.1.2.** Let  $f \in K[x_1, \dots, x_n]$ . A point  $P = (a_1, \dots, a_n) \in \mathbb{A}^n(K)$  is a *root* or *zero* of  $f$  iff  $f(P) = f(a_1, \dots, a_n) = 0$ .

A subset  $V \subseteq \mathbb{A}^n(K)$  is an *affine algebraic set* iff there is a set of polynomials  $S \subseteq K[x_1, \dots, x_n]$  such that

$$V = V(S) = \{ P \in \mathbb{A}^n(K) \mid f(P) = 0 \text{ for all } f \in S \}. \quad \square$$

We list a few facts about affine algebraic sets:

- (1) If  $S \subseteq K[x_1, \dots, x_n]$  and  $I = \text{ideal}(S) = \langle S \rangle$ , then  $V(S) = V(I)$ . So every affine algebraic set is  $V(I)$  for some ideal  $I$  in  $K[x_1, \dots, x_n]$ . Since the polynomial ring is Noetherian (see Hilbert's Basis Theorem, below), every ideal has a finite basis. So for every affine algebraic set  $V$  there is a finite set of polynomials  $S = \{f_1, \dots, f_m\}$  such that  $V = V(S) = V(f_1, \dots, f_m)$ . The corresponding system of algebraic equations

$$f_1 = 0, \dots, f_m = 0$$

is called a *system of defining equations* for  $V$ .

(2) If  $I, J$  are ideals with  $I \subseteq J$ , then  $V(I) \supseteq V(J)$ .

(3) If  $\{I_\alpha\}_{\alpha \in A}$  is an arbitrary family of ideals, then

$$V\left(\bigcup_{\alpha \in A} I_\alpha\right) = \bigcap_{\alpha \in A} V(I_\alpha).$$

Thus, the intersection of an arbitrary family of algebraic sets is an algebraic set.

(4)  $V(f \cdot g) = V(f) \cup V(g)$  for polynomials  $f, g$ . This relation can be generalized to ideals  $I, J$ .

$$V(I) \cup V(J) = V(\{f \cdot g \mid f \in I, g \in J\}).$$

So if  $B_I = \{f_1, \dots, f_m\}$  and  $B_J = \{g_1, \dots, g_p\}$  are finite bases for the ideals  $I$  and  $J$ , respectively, then  $B = \{f_i \cdot g_j \mid 1 \leq i \leq m, 1 \leq j \leq p\}$  is a finite basis for  $I \cdot J$ , the product of the ideals  $I, J$ , and

$$V(I) \cup V(J) = V(I \cdot J) = V(B).$$

By the way, we also have  $V(I) \cup V(J) = V(I \cap J)$ .

So every finite union of algebraic sets is an algebraic set.

(5)  $V(0) = \mathbb{A}^n(K)$ , and  $V(1) = \emptyset$ .

$V(x_1 - a_1, \dots, x_n - a_n) = \{(a_1, \dots, a_n)\}$  for all  $a_i \in K$ . So every finite set of points is an algebraic set.

(6) Collecting (3), (4), and (5) we get that  $\mathbb{A}^n(K)$  is a topological space if we take the algebraic sets as the closed sets. This topology is called the *Zariski topology*.

**Def. 3.1.3.** The *Zariski topology* on  $\mathbb{A}^n(K)$  is the topology in which the closed sets are exactly the algebraic sets in  $\mathbb{A}^n(K)$ .  $\square$

Some examples of affine algebraic sets:

(1) Linear algebraic sets: they are the solutions of systems of linear equations and are treated in linear algebra.

(2) Hypersurfaces: these are algebraic sets defined by a single equation  $f(x_1, \dots, x_n) = 0$ , where  $f$  is non-constant.

If  $f$  is linear, we have a hyperplane (a plane in  $\mathbb{A}^3$ , a line in  $\mathbb{A}^2$ ).

Hypersurfaces in  $\mathbb{A}^3$  are just called surfaces.

By definition, every algebraic set is the intersection of finitely many hypersurfaces.

Over the field  $\mathbb{R}$  a hypersurface can be empty or consist of only finitely many points:

$$\begin{aligned} x^2 + y^2 + 1 = 0 &\longrightarrow \text{no point in } \mathbb{A}^2(\mathbb{R}) \\ x^2 + y^2 = 0 &\longrightarrow \text{only one point } (0, 0) \text{ in } \mathbb{A}^2(\mathbb{R}) \end{aligned}$$

This cannot happen over an algebraically closed field such as  $\mathbb{C}$ .

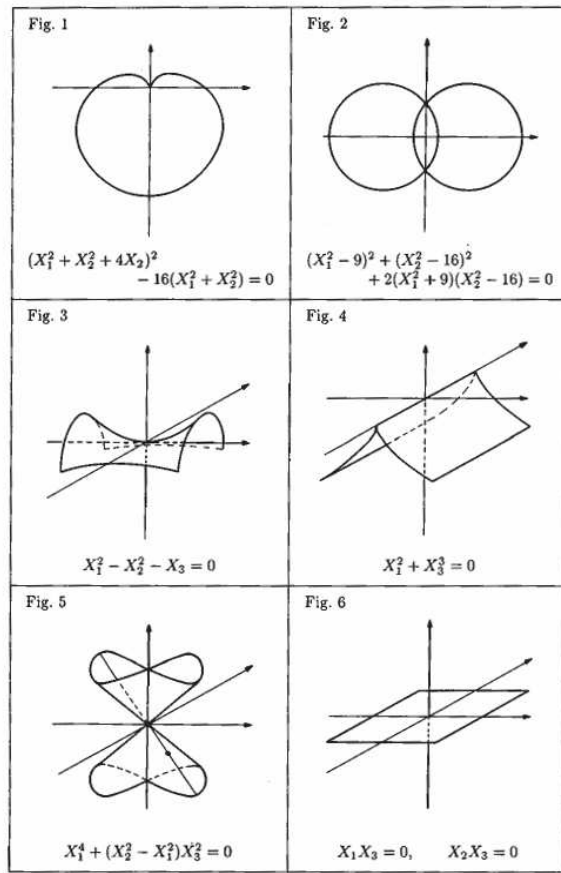


Figure 3.1: from [Kun85]

- (3) Plane algebraic curves: a plane algebraic curve  $\mathcal{C}$  is a hypersurfaces in  $\mathbb{A}^2$ , i.e. the set of solutions of  $f(x, y) = 0$ .
- (4) Cones: if the defining system of equations consists only of homogeneous polynomials, then the corresponding algebraic set  $V$  has the property that for  $P \in V$ ,  $P \neq (0, 0)$ , the whole line connecting  $P$  and the origin  $O = (0, 0)$  is contained in  $V$ . Such an algebraic set is called a cone with vertex at the origin.
- (5) Product of affine algebraic sets:

$$\begin{array}{ll}
 V \subseteq \mathbb{A}^n(K) & \text{defined by } f_i(x_1, \dots, x_n) = 0, \quad i = 1, \dots, r \\
 W \subseteq \mathbb{A}^m(K) & \text{defined by } g_j(x_1, \dots, x_m) = 0, \quad i = 1, \dots, s
 \end{array}$$

The product  $V \times W \subseteq \mathbb{A}^{n+m}(K)$  is defined by

$$\begin{aligned} f_i(x_1, \dots, x_n) &= 0, & 1 \leq i \leq r \\ g_j(y_1, \dots, y_m) &= 0, & 1 \leq j \leq s \end{aligned}$$

in  $K[x_1, \dots, x_n, y_1, \dots, y_m]$ .

- (6) Parametrizations are points in spaces over rational function fields: let  $\mathcal{C} \subset \mathbb{A}^2(K)$  be a curve defined as the set of solutions of  $f(x, y) = 0$  over the field  $K$ . Let  $\mathcal{C}$  be parametrized by  $P(t) = (x(t), y(t))$  (compare Example 1.3.). So  $f(x(t), y(t)) = 0$ , which means that  $P(t)$  is a point on the curve  $\tilde{\mathcal{C}}$  defined by  $f(x, y)$  over the bigger field  $\mathbb{A}^2(K(t))$ . In fact,

$$\tilde{\mathcal{C}} = \{P(t) \in \mathbb{A}^2(K(t)) \mid f(P(t)) = 0\}.$$

$\tilde{\mathcal{C}}$  contains all the points of  $\mathcal{C}$  and also all the parametrizations of  $\mathcal{C}$  (or of components thereof; compare Chap. 8).

**Theorem 3.1.1.** *Let the field  $K$  be infinite.*

- (a) *Let  $n \geq 1$ . Then for every hypersurface  $V$  in  $\mathbb{A}^n(K)$  there are infinitely many points in  $\mathbb{A}^n(K) \setminus V$ , i.e. outside of  $V$ .*
- (b) *Let  $K$  be algebraically closed and  $n \geq 2$ . Then every hypersurface in  $\mathbb{A}^n(K)$  contains infinitely many points.*

**Proof:** (a) We proceed by induction on  $n$ . For  $n = 1$  the statement obviously holds. Now consider  $n > 1$ . The hypersurface  $V$  is defined by the non-constant polynomial  $f(x_1, \dots, x_n)$ . W.l.o.g. we may assume that  $x_n$  actually occurs in  $f$ , i.e.

$$f = \sum_{i=0}^m g_i(x_1, \dots, x_{n-1})x_n^i, \quad (*)$$

with  $m > 0$  and  $g_m \neq 0$ .

By the induction hypothesis there is a point  $(a_1, \dots, a_{n-1}) \in \mathbb{A}^{n-1}$  such that  $g_m(a_1, \dots, a_{n-1}) \neq 0$ . So  $f(a_1, \dots, a_{n-1}, x_n)$  is a non-vanishing polynomial in  $K[x_n]$ , having only finitely many roots. Thus, there are infinitely many  $a_n \in K$  such that  $f(a_1, \dots, a_{n-1}, a_n) \neq 0$ .

(b) Let  $V$  be defined by  $f$  as in (\*). By (a), there are infinitely many points  $P = (a_1, \dots, a_{n-1}) \in \mathbb{A}^{n-1}$  with  $g_m(a_1, \dots, a_{n-1}) \neq 0$ . Since  $K$  is algebraically closed, for every such point  $P$  there is a value  $a_n \in K$  such that  $f(a_1, \dots, a_{n-1}, a_n) = 0$ .  $\square$

We have seen how we can associate a geometric variety to a polynomial ideal. On the other hand, any set of points in space also determines a polynomial ideal, namely the set of polynomials vanishing on these points.

**Def. 3.1.4.** Let  $X$  be a subset of  $\mathbb{A}^n(K)$ . The set of all polynomials in  $K[x_1, \dots, x_n]$  vanishing on all the points in  $X$  form an ideal. This ideal is the *ideal of  $X$* ,  $I(X)$ .

$$I(X) := \{ f \in K[x_1, \dots, x_n] \mid f(P) = 0 \text{ for all } P \in X \}. \quad \square$$

**Theorem 3.1.2.** Let  $K$  be algebraically closed and  $n \geq 1$ . Let  $H \subset \mathbb{A}^n(K)$  be a hypersurface defined by the polynomial

$$f = c \cdot f_1^{\alpha_1} \cdot \dots \cdot f_s^{\alpha_s},$$

where  $c \in K^*$ , and the  $f_i$  are pairwise relatively prime irreducible polynomials. Then  $I(H) = \langle f_1 \cdot \dots \cdot f_s \rangle$ .

**Proof:** Obviously  $f_1 \cdot \dots \cdot f_s \in I(H)$ .

So it suffices to show that every  $g \in I(H)$  is divisible by all the factors  $f_i$ ,  $1 \leq i \leq s$ . Suppose for some  $i$  the factor  $f_i$  does not divide  $g$ . W.l.o.g. we may assume that  $x_n$  actually occurs in  $f_i$ , i.e.

$$f_i = \sum_{i=0}^m g_i(x_1, \dots, x_{n-1}) x_n^i,$$

with  $m > 0$  and  $g_m \neq 0$ . By Gauss' Lemma, the polynomials  $f_i$  and  $g$  are also relatively prime in the Euclidean domain  $K(x_1, \dots, x_{n-1})[x_n]$ . So for some  $h_1, h_2 \in K[x_1, \dots, x_n]$  and  $d \in K[x_1, \dots, x_{n-1}]^*$  we can write

$$d(x_1, \dots, x_{n-1}) = h_1(x_1, \dots, x_n) \cdot f_i(x_1, \dots, x_n) + h_2(x_1, \dots, x_n) \cdot g(x_1, \dots, x_n).$$

By Theorem 3.1.1(a) there is a point  $(a_1, \dots, a_{n-1}) \in \mathbb{A}^{n-1}$  such that

$$d(a_1, \dots, a_{n-1}) \cdot g_m(a_1, \dots, a_{n-1}) \neq 0.$$

Choose a value  $a_n \in K$  such that  $f_i(a_1, \dots, a_{n-1}, a_n) = 0$ . Then  $(a_1, \dots, a_n) \in H$ , and therefore  $g(a_1, \dots, a_n) = 0$ . This, however, is a contradiction to  $d(a_1, \dots, a_{n-1}) \neq 0$ .  $\square$

We list some relations between ideals and algebraic sets.

**Lemma 3.1.3.** *Let  $X, Y \subseteq \mathbb{A}^n(K)$ ,  $S \subseteq K[x_1, \dots, x_n]$ .*

- (a) *If  $X \subseteq Y$  then  $I(X) \supseteq I(Y)$ .*
- (b)  $I(\emptyset) = K[x_1, \dots, x_n]$ .  
*If  $K$  is infinite, then  $I(\mathbb{A}^n) = \langle 0 \rangle$ .*  
 $I(\{(a_1, \dots, a_n)\}) = \langle x_1 - a_1, \dots, x_n - a_n \rangle$  for all  $a_i \in K$ .
- (c)  $I(V(S)) \supseteq S$ .  
 $V(I(X)) \supseteq X$ .
- (d)  $V(I(V(S))) = V(S)$ .  
 $I(V(I(X))) = I(X)$ .
- (e)  $I(X)$  is a radical ideal.

The proof is left to the reader as an exercise.

## 3.2 Hilbert's Basis Theorem

We have already used the fact that every ideal in the polynomial ring  $K[x_1, \dots, x_n]$  is finitely generated. In this section we give a proof of this fact.

**Def. 3.2.1.** A commutative ring with identity  $R$  is called a *Noetherian ring* iff the *basis condition* holds in  $R$ , i.e. every ideal in  $R$  is finitely generated.  $\square$

**Lemma 3.2.1.** A commutative ring with identity  $R$  is Noetherian if and only if there are no infinite properly ascending chains of ideals in  $R$ . I.e., if

$$I_1 \subseteq I_2 \subseteq \dots \subseteq R,$$

then there is an index  $k$  such that

$$I_k = I_{k+1} = \dots . \quad \square$$

**Proof:** Suppose that  $R$  is Noetherian. Let

$$I_0 \subseteq I_1 \subseteq I_2 \subseteq \dots$$

be an ascending chain of ideals in  $R$ . Consider

$$I := \bigcup_{i=0}^{\infty} I_i .$$

$I$  is an ideal in  $R$ , so it has a finite basis. This basis must be contained in some  $I_k$ ; so

$$I_k = I_{k+1} = \dots .$$

On the other hand, suppose that an ideal  $I$  in  $R$  does not have a finite basis.

Choose a non-zero element  $r_0 \in I$ ; then  $I_0 := \langle r_0 \rangle \neq I$ .

Choose  $r_1 \in I \setminus I_0$ ; then  $I_1 := \langle r_0, r_1 \rangle \neq I$ .

This process can be continued indefinitely, yielding an infinite properly ascending chain of ideals in  $R$ .  $\square$

**Theorem 3.2.2.** (Hilbert's Basis Theorem) *If  $R$  is a Noetherian ring then also the ring of polynomials  $R[x]$  is Noetherian.*

**Proof:** Let  $I$  be an ideal in  $R[x]$ . We have to show that  $I$  has a finite basis.

For  $f(x) = a_0 + a_1x + \dots + a_dx^d \in R[x]^*$ ,  $a_d \neq 0$ , we call  $a_d$  the *leading coefficient* of  $f$ ,  $\text{lc}(f)$ , and  $a_dx^d$  the *leading term* of  $f$ ,  $\text{lt}(f)$ . The leading coefficient of 0 is 0.

Let  $J$  be the set of all leading coefficients of polynomials in  $I$ .  $J$  is an ideal in  $R$ , and therefore has a finite basis. Let  $f_1, \dots, f_k \in I$  be such that their leading coefficients generate  $J$ , i.e.

$$J = \langle \text{lc}(f_1), \dots, \text{lc}(f_k) \rangle .$$

Let  $N$  be the highest degree of the  $f_i$ 's,

$$N = \max_{1 \leq i \leq k} \deg(f_i).$$

For every  $m, 0 \leq m < N$ , let  $J_m$  be the ideal in  $R$  consisting of the leading coefficients of all polynomials  $f \in I$  with  $\deg(f) \leq m$ . Let  $\{f_{mj} | 1 \leq j \leq k_m\}$  be a finite set of polynomials in  $I$  with  $\deg(f_{mj}) \leq m$ , such that  $J_m$  is generated by the leading coefficients of the  $f_{mj}$ , i.e.

$$J_m = \langle \text{lc}(f_{m1}), \dots, \text{lc}(f_{mk_m}) \rangle.$$

Now let

$$I' := \langle \{f_1, \dots, f_k\} \cup \bigcup_{0 \leq m < N} \{f_{mj} | 1 \leq j \leq k_m\} \rangle.$$

We show that  $I' = I$ , so  $I$  has a finite basis.

Obviously  $I' \subseteq I$ . Suppose that  $I'$  is a proper subset of  $I$ . Let  $g$  be an element of least degree in  $I \setminus I'$ .

Case  $\deg(g) \geq N$ : There are polynomials  $q_i$  such that

$$\text{lt}(\sum q_i f_i) = \text{lt}(g).$$

So also  $g - \sum q_i f_i \in I \setminus I'$  and  $\deg(g - \sum q_i f_i) < \deg(g)$ , in contradiction to the minimality of  $\deg(g)$ .

Case  $\deg(g) < N$ : Let  $m = \deg(g)$ . There are polynomials  $q_j$  such that

$$\text{lt}(\sum q_j f_{mj}) = \text{lt}(g).$$

So also  $g - \sum q_j f_{mj} \in I \setminus I'$  and  $\deg(g - \sum q_j f_{mj}) < \deg(g)$ , in contradiction to the minimality of  $\deg(g)$ .

In any case we see that such a  $g$  cannot exist, i.e.  $I = I'$  and  $I$  is finitely generated.  $\square$

**Corollary.** For any  $n$ ,  $K[x_1, \dots, x_n]$  is a Noetherian ring.

**Proof:**  $K$  has only two ideals, namely  $\langle 0 \rangle, \langle 1 \rangle$ . Both are obviously finitely generated. The statement follows from the Theorem by induction on  $n$ .  $\square$



### 3.3 Irreducible Components of Algebraic Sets

**Def. 3.3.1.** An algebraic set  $V \subseteq \mathbb{A}^n$  is *reducible* iff there are algebraic sets  $V_1, V_2$  different from  $V$  such that  $V = V_1 \cup V_2$ . Otherwise  $V$  is *irreducible*. An irreducible algebraic set is also called a *variety*.  $\square$

**Theorem 3.3.1.** An algebraic set  $V$  is irreducible if and only if  $I(V)$  is a prime ideal.

**Proof:** “ $\implies$ ”: Suppose  $I(V)$  is not prime. Then there are polynomials  $f_1, f_2$  such that  $f_1 \cdot f_2 \in I(V)$  but  $f_1, f_2 \notin I(V)$ . So  $V = (V \cap V(f_1)) \cup (V \cap V(f_2))$ , and  $V \cap V(f_i) \neq V$  for  $i = 1, 2$ . Thus,  $V$  is reducible.

“ $\impliedby$ ”: Suppose  $V = V_1 \cup V_2$ , where  $V_i \neq V$  for  $i = 1, 2$ . By Lemma 3.1.3(d), also  $I(V_i) \neq I(V)$  for  $i = 1, 2$ . Let  $f_i \in I(V_i) \setminus I(V)$  for  $i = 1, 2$ . Then  $f_1 \cdot f_2 \in I(V)$ , and therefore  $I(V)$  is not prime.  $\square$

An algorithm for decomposing an algebraic set  $V$  into a finite union of irreducible algebraic sets could proceed as follows: first we decompose  $V$  into sets  $V_1, V_2$ . Next we decompose  $V_1$  and  $V_2$ , and so on. We will reach a finite decomposition if this algorithm terminates. This is a consequence of the following theorem.

**Theorem 3.3.2.** Let  $\mathcal{S}$  be a non-empty set of ideals in the Noetherian ring  $R$ . Then  $\mathcal{S}$  contains a maximal element, i.e. there is an  $I \in \mathcal{S}$  such that for all other ideals  $J \in \mathcal{S}$  we have  $I \not\subset J$ .

**Proof:** Choose an ideal  $I_0 \in \mathcal{S}$ , and set  $\mathcal{S}_0 := \mathcal{S}$ . Now let

$$\mathcal{S}_1 := \{ I \in \mathcal{S} \mid I_0 \subset I \text{ and } I_0 \neq I \}.$$

If  $\mathcal{S}_1 \neq \emptyset$ , then choose an ideal  $I_1 \in \mathcal{S}_1$  and let

$$\mathcal{S}_2 := \{ I \in \mathcal{S} \mid I_1 \subset I \text{ and } I_1 \neq I \}.$$

This process is continued as long as  $\mathcal{S}_m \neq \emptyset$ . The proof is complete if we can show that for some  $m$  the set  $\mathcal{S}_m$  is empty.

Suppose  $\mathcal{S}_m \neq \emptyset$  for all  $m$ . Let

$$I := \bigcup_{m=0}^{\infty} I_m,$$

an ideal in  $R$ . Let  $\{f_1, \dots, f_r\}$  be a finite basis of  $I$ . For a sufficiently big  $m$  we have  $f_i \in I_m$  for all  $1 \leq i \leq r$ . So  $I = I_m$  and therefore  $I_{m+1} = I_m$ , a contradiction.  $\square$

Also the converse is true; see [ZaS58] I, p.199.

**Corollary.** Every non-empty family  $\mathcal{V} = \{V_\alpha\}_{\alpha \in A}$  of algebraic sets in  $\mathbb{A}^n$  contains a minimal element (w.r.t. to set inclusion “ $\subset$ ”).

**Proof:** Let  $I(V_{\alpha_0})$  be a maximal element in  $\{I(V_\alpha)\}_{\alpha \in A}$ . Then  $V_{\alpha_0}$  is minimal in  $\mathcal{V}$ .  $\square$

**Theorem 3.3.3.** *Let  $V$  be an algebraic set in  $\mathbb{A}^n$ . Then there is a unique decomposition, up to permutation of the components, of  $V$  into irreducible algebraic sets  $V_1, \dots, V_m$  such that*

$$V = V_1 \cup \dots \cup V_m \quad \text{and} \quad V_i \not\subseteq V_j \text{ for } i \neq j.$$

**Proof:** (a) Existence of decomposition: Let

$$\mathcal{V} := \{ V \subseteq \mathbb{A}^n \mid V \text{ is algebraic and } V \text{ is not the union of finitely many irreducible algebraic sets} \}.$$

We want to show that  $\mathcal{V} = \emptyset$ .

If this is not the case, then  $\mathcal{V}$  contains a minimal element, say  $\overline{V}$ . Since  $\overline{V} \in \mathcal{V}$ ,  $\overline{V}$  can be decomposed into  $\overline{V} = V_1 \cup V_2$ ,  $V_i \neq \overline{V}$  for  $i = 1, 2$ . Because of the minimality of  $\overline{V}$ ,  $V_i$  cannot be in  $\mathcal{V}$ , so  $V_i = V_{i1} \cup \dots \cup V_{im_i}$  for  $V_{ij}$  irreducible. But then

$$V = \bigcup_{i,j} V_{ij},$$

a contradiction.

(b) Uniqueness: In the decomposition  $V = V_1 \cup \dots \cup V_m$  eliminate all components which are properly contained in another component and also double occurrences of components. The resulting decomposition is *reduced*.

Now consider two reduced decompositions

$$V = V_1 \cup \dots \cup V_m$$

and

$$V = W_1 \cup \dots \cup W_l.$$

Then

$$V_i = V \cap V_i = \bigcup_{j=1}^l (W_j \cap V_i).$$

Because of the irreducibility of the  $V_i, W_j$ , every  $V_i \subseteq W_{j(i)}$  for some  $j(i)$ , and on the other hand  $W_{j(i)} \subseteq V_k$  for some  $k$ . This is only possible for  $V_i = W_{j(i)} = V_k$ , i.e.  $i = k$ . Thus, every  $V_i$  is equal to some  $W_{j(i)}$ .

In the same way, we can show that every  $W_j$  is equal to some  $V_{i(j)}$ . □

**Def. 3.3.2.** Let  $V \subseteq \mathbb{A}^n$  be an algebraic set. Let  $V = V_1 \cup \dots \cup V_m$  be the unique decomposition guaranteed by Theorem 3.3.3. This decomposition is called the *decomposition of  $V$  into irreducible components*. □

In Section 4.3 we will compare this result on decomposition of algebraic sets with primary decomposition of polynomial ideals. The situation for primary decomposition is much more complicated.

**Theorem 3.3.4.** *If  $K$  is infinite, then  $\mathbb{A}^n(K)$  is irreducible.*

**Proof:** Suppose  $\mathbb{A}^n(K)$  were reducible, and  $\mathbb{A}^n(K) = V_1 \cup V_2$  a decomposition. Consider non-zero polynomials  $f_1 \in I(V_1) \setminus I(V_2)$ ,  $f_2 \in I(V_2) \setminus I(V_1)$ .  $f_1 \cdot f_2 \in I(V_1) \cap I(V_2)$ , so  $0 \neq f_1 \cdot f_2$  vanishes on all points of  $\mathbb{A}^n(K)$ . This is a contradiction to Theorem 3.1.1.  $\square$

We will take the affine plane  $\mathbb{A}^2(K)$  as an example and give a complete classification of the algebraic subsets of the plane. Because of Theorem 3.3.3 it suffices to classify the irreducible algebraic sets. All others are constructed from these components.

**Theorem 3.3.5.** *Let  $f, g \in K[x, y]$ ,  $f$  and  $g$  relatively prime. Then  $V(f, g) = V(f) \cap V(g)$  is a finite set of points.*

**Proof:**  $f$  and  $g$  are relatively prime in  $K[x][y]$ , so by Gauss' Lemma they are also relatively prime in  $K(x)[y]$ . But  $K(x)[y]$  is a Euclidean domain, so we can write the gcd as a linear combination

$$1 = r \cdot f + s \cdot g,$$

for some  $r, s \in K(x)[y]$ . After eliminating the denominators from this equation, we get

$$d = a \cdot f + b \cdot g,$$

for some  $d \in K[x]$ ,  $a, b \in K[x, y]$ .

Now if  $(c_1, c_2) \in V(f, g)$ , then  $d(c_1) = 0$ . But  $d$  has only finitely many roots. So there are only finitely many possible values for the  $x$ -coordinate of points in  $V(f, g)$ . By an analogous consideration we determine that there are only finitely many possible values for the  $y$ -coordinate of points in  $V(f, g)$ .  $\square$

**Corollary.** *If  $f(x, y)$  is irreducible in  $K[x, y]$  and  $V(f)$  is infinite, then  $I(V(f)) = \langle f \rangle$  and  $V(f)$  is irreducible.*

**Proof:** If  $g \in I(V(f))$ , then  $V(f, g) = V(f)$  is infinite. So, by the Theorem,  $f$  must divide  $g$ , and therefore  $g \in \langle f \rangle$ . The irreducibility of  $V(f)$  follows from Theorem 3.3.1.  $\square$

**Theorem 3.3.6.** (classification) *Let the field  $K$  be infinite. The following is a complete classification of the irreducible algebraic subsets of  $\mathbb{A}^2(K)$ :*

- (a)  $\mathbb{A}^2(K)$  and  $\emptyset$ ,
- (b) single points,
- (c) irreducible algebraic curves  $V(f)$ , where  $f$  is an irreducible polynomial and  $V(f)$  is infinite.

**Proof:** Let  $V$  be an irreducible algebraic set in  $\mathbb{A}^2(K)$ . If  $V$  is finite or  $I(V) = \langle 0 \rangle$ , then  $V$  is of type (a) or (b).

Otherwise,  $I(V)$  contains a non-constant polynomial  $f$ . Since  $I(V)$  is prime, it must also contain an irreducible factor of  $f$ . So w.l.o.g. let  $f$  be irreducible. Now we claim that  $I(V) = \langle f \rangle$ . To see this, let  $h \in I(V) \setminus \langle f \rangle$ .  $h$  and  $f$  are relatively prime, so by Theorem 3.3.5  $V \subset V(f, h)$  is finite.  $\square$

**Theorem 3.3.7.** *Let  $K$  be algebraically closed,  $f \in K[x, y]$ . Let  $f = f_1^{\alpha_1} \cdot \dots \cdot f_s^{\alpha_s}$  be the factorization of  $f$ . Then*

- (a)  $V(f) = V(f_1) \cup \dots \cup V(f_s)$  is the decomposition of  $V(f)$  into irreducible components, and
- (b)  $I(V(f)) = \langle f_1 \cdot \dots \cdot f_s \rangle$ .

**Proof:** obvious.  $\square$

**Example 3.3.1.** We consider the intersection of a sphere with radius 2 and a cylinder with radius 1 defined by

$$\begin{aligned} f_1 &= x^2 + y^2 + z^2 - 4 = 0, \\ f_2 &= y^2 + z^2 - 1 = 0. \end{aligned}$$

$V = V(f_1, f_2)$  can be decomposed as

$$V = V(x - \sqrt{3}, y^2 + z^2 - 1) \cup V(x + \sqrt{3}, y^2 + z^2 - 1),$$

and these are the irreducible components of  $V$ .  $\square$