

# Perfect Matchings in Cubic Graphs

Peter Horak<sup>1</sup>, Dongryul Kim<sup>2</sup>

University of Washington<sup>1</sup>, Stanford University<sup>2</sup>

This talk is dedicated to the memory of



GERT SABIDUSSI  
1929 - 2022

# Cycles, 2-factors, perfect matchings

# Cycles, 2-factors, perfect matchings

Max number of cycles: W. Ahrens, Math. Ann. 49(**1897**).

# Cycles, 2-factors, perfect matchings

Max number of cycles: W. Ahrens, Math. Ann. 49(**1897**).

2-factors: J. Petersen, Acta Math. 15(**1891**).

# Cycles, 2-factors, perfect matchings

Max number of cycles: W. Ahrens, Math. Ann. 49(**1897**).

2-factors: J. Petersen, Acta Math. 15(**1891**).

Confined to cubic graphs  $G$ .

# Cycles, 2-factors, perfect matchings

Max number of cycles: W. Ahrens, Math. Ann. 49(**1897**).

2-factors: J. Petersen, Acta Math. 15(**1891**).

Confined to cubic graphs  $G$ .

For cubic graphs

$$2\text{-Fac}(G) = \text{PerMat}(G)$$

# Cycles, 2-factors, perfect matchings

Max number of cycles: W. Ahrens, Math. Ann. 49(**1897**).

2-factors: J. Petersen, Acta Math. 15(**1891**).

Confined to cubic graphs  $G$ .

For cubic graphs

$$2\text{-Fac}(G) = \text{PerMat}(G)$$

where, for a graph  $G$ ,  $\text{PerMat}(G)$  # of perfect matchings of  $G$ .



# Results

## Confirming an old conjecture of Lovasz and Plummer

Confirming an old conjecture of Lovasz and Plummer

## Theorem

*(Loius Esperet et al. 2011.) There are exponentially many perfect matchings in cubic graphs.*

Confirming an old conjecture of Lovasz and Plummer

## Theorem

*(Loius Esperet et al. 2011.) There are exponentially many perfect matchings in cubic graphs.*

Restriction of a general result:

Confirming an old conjecture of Lovasz and Plummer

## Theorem

(Loius Esperet et al. 2011.) *There are exponentially many perfect matchings in cubic graphs.*

Restriction of a general result:

## Theorem

(Noga Alon et al. 2008) *For a simple cubic graph  $G$  on  $2n$  vertices,*

$$\text{PerMat}(G) \leq 6^{n/3}$$

This bound is tight, attained by the disjoint union of bipartite complete graphs  $K_{3,3}$ . **CM**

Confirming an old conjecture of Lovasz and Plummer

## Theorem

(Loius Esperet et al. 2011.) *There are exponentially many perfect matchings in cubic graphs.*

Restriction of a general result:

## Theorem

(Noga Alon et al. 2008) *For a simple cubic graph  $G$  on  $2n$  vertices,*

$$\text{PerMat}(G) \leq 6^{n/3}$$

This bound is tight, attained by the disjoint union of bipartite complete graphs  $K_{3,3}$ . **CM**

# Connected Cubic Graphs

# Connected Cubic Graphs

If only connected cubic graphs but not necessarily simple are considered, then



If only connected cubic graphs but not necessarily simple are considered, then

## Theorem

(Galbiati, 1981) For a connected cubic (multi) graph  $G$  on  $2n$  vertices,

$$\text{PerMat}(G) \leq 2^n + 1.$$

*This bound is tight, attained by a cycle of length  $2n$  and putting parallel edges alternatively.*

If only connected cubic graphs but not necessarily simple are considered, then

## Theorem

(Galbiati, 1981) For a connected cubic (multi) graph  $G$  on  $2n$  vertices,

$$\text{PerMat}(G) \leq 2^n + 1.$$

*This bound is tight, attained by a cycle of length  $2n$  and putting parallel edges alternatively.*

# Simple connected cubic graphs

# Simple connected cubic graphs

Small values of  $n$  **CM**

# Simple connected cubic graphs

## Small values of $n$ **CM**

for  $n = 2$ ,  $PerMat(G) = 3$ ,    for  $n = 3$ ,  $PerMat(G) \leq 6$   
for  $n = 4$ ,  $PerMat(G) \leq 9$ ,    for  $n = 5$ ,  $PerMat(G) \leq 13$ .

# Simple connected cubic graphs

Small values of  $n$  **CM**

for  $n = 2$ ,  $PerMat(G) = 3$ , for  $n = 3$ ,  $PerMat(G) \leq 6$   
for  $n = 4$ ,  $PerMat(G) \leq 9$ , for  $n = 5$ ,  $PerMat(G) \leq 13$ .

$M(n)$  the set of extremal graphs on  $2n$  vertices

# Simple connected cubic graphs

Small values of  $n$  **CM**

for  $n = 2$ ,  $PerMat(G) = 3$ , for  $n = 3$ ,  $PerMat(G) \leq 6$   
for  $n = 4$ ,  $PerMat(G) \leq 9$ , for  $n = 5$ ,  $PerMat(G) \leq 13$ .

$M(n)$  the set of extremal graphs on  $2n$  vertices

$$M(2) = \{K_4\}$$

# Simple connected cubic graphs

Small values of  $n$  **CM**

for  $n = 2$ ,  $PerMat(G) = 3$ , for  $n = 3$ ,  $PerMat(G) \leq 6$   
for  $n = 4$ ,  $PerMat(G) \leq 9$ , for  $n = 5$ ,  $PerMat(G) \leq 13$ .

$M(n)$  the set of extremal graphs on  $2n$  vertices

$$M(2) = \{K_4\}$$

$$M(3) = \{K_{3,3}\}$$



# Simple connected cubic graphs

Small values of  $n$  **CM**

for  $n = 2$ ,  $PerMat(G) = 3$ , for  $n = 3$ ,  $PerMat(G) \leq 6$   
for  $n = 4$ ,  $PerMat(G) \leq 9$ , for  $n = 5$ ,  $PerMat(G) \leq 13$ .

$M(n)$  the set of extremal graphs on  $2n$  vertices

$$M(2) = \{K_4\}$$

$$M(3) = \{K_{3,3}\}$$

$$M(4) = \{K_{4,4} - F, \text{ a perfect matching}\}$$

# Simple connected cubic graphs

Small values of  $n$  **CM**

for  $n = 2$ ,  $PerMat(G) = 3$ , for  $n = 3$ ,  $PerMat(G) \leq 6$   
for  $n = 4$ ,  $PerMat(G) \leq 9$ , for  $n = 5$ ,  $PerMat(G) \leq 13$ .

$M(n)$  the set of extremal graphs on  $2n$  vertices

$$M(2) = \{K_4\}$$

$$M(3) = \{K_{3,3}\}$$

$$M(4) = \{K_{4,4} - F, \text{ a perfect matching}\}$$

$$M(5) = \{\text{Möbius ladder on 10 vertices}\}$$

# Simple connected cubic graphs

Small values of  $n$  **CM**

for  $n = 2$ ,  $PerMat(G) = 3$ , for  $n = 3$ ,  $PerMat(G) \leq 6$   
for  $n = 4$ ,  $PerMat(G) \leq 9$ , for  $n = 5$ ,  $PerMat(G) \leq 13$ .

$M(n)$  the set of extremal graphs on  $2n$  vertices

$$M(2) = \{K_4\}$$

$$M(3) = \{K_{3,3}\}$$

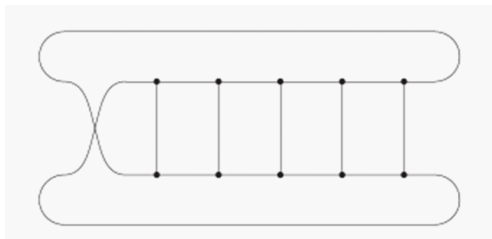
$$M(4) = \{K_{4,4} - F, \text{ a perfect matching}\}$$

$$M(5) = \{\text{Möbius ladder on 10 vertices}\}$$

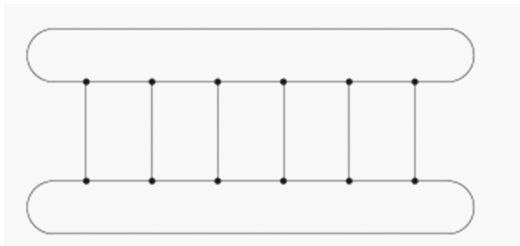
# Möbius ladder on 10 vertices



## Möbius ladder on 10 vertices



## Circular ladder on 12 vertices $C_6 \times K_2$



# Main Result

## Theorem

(P.H + Dongryul Kim 2022) Let  $G$  be a simple connected cubic graph on  $2n$  vertices. Then, for  $n \geq 6$ ,

$$\text{PerMat}(G) \leq 4f_{n-1},$$

where  $f_n$  denotes the  $n$ th Fibonacci number. This bound is tight.

## Theorem

(P.H + Dongryul Kim 2022) Let  $G$  be a simple connected cubic graph on  $2n$  vertices. Then, for  $n \geq 6$ ,

$$\text{PerMat}(G) \leq 4f_{n-1},$$

where  $f_n$  denotes the  $n$ th Fibonacci number. This bound is tight.

$$M(6) = \{C_6 \times K_2; \text{Extrem}(6)\};$$



## Theorem

(P.H + Dongryul Kim 2022) Let  $G$  be a simple connected cubic graph on  $2n$  vertices. Then, for  $n \geq 6$ ,

$$\text{PerMat}(G) \leq 4f_{n-1},$$

where  $f_n$  denotes the  $n$ th Fibonacci number. This bound is tight.

$$M(6) = \{C_6 \times K_2; \text{Extrem}(6)\};$$

$$\text{For } n \geq 7, M(n) = \{\text{Extrem}(n)\}$$

## Theorem

(P.H + Dongryul Kim 2022) Let  $G$  be a simple connected cubic graph on  $2n$  vertices. Then, for  $n \geq 6$ ,

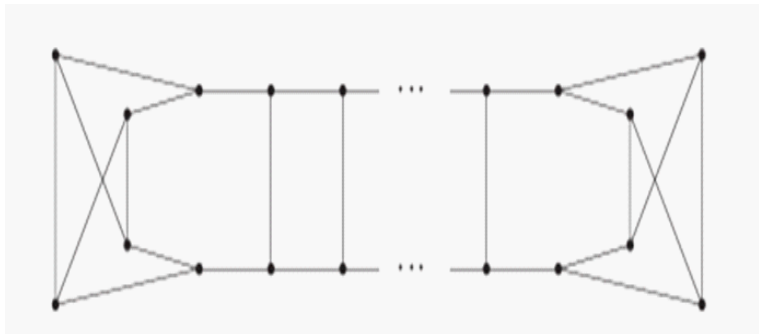
$$\text{PerMat}(G) \leq 4f_{n-1},$$

where  $f_n$  denotes the  $n$ th Fibonacci number. This bound is tight.

$$M(6) = \{C_6 \times K_2; \text{Extrem}(6)\};$$

$$\text{For } n \geq 7, M(n) = \{\text{Extrem}(n)\}$$

$Extrem(n)$  a ladder with a  $K_{3,3}$  included at both ends.



# The main idea of the proof

# The main idea of the proof

## Theorem

(Bregman–Minc inequality 1973) Let  $A = (a_{ij})$  be a binary square matrix, row sum  $r_i = \sum_{1 \leq j \leq n} a_{ij}$  for  $i = 1, \dots, n$ . Then

$$\text{per}(A) \leq \prod_{i=1}^n (r_i!)^{1/r_i}$$

# The main idea of the proof

## Theorem

(Bregman–Minc inequality 1973) Let  $A = (a_{ij})$  be a binary square matrix, row sum  $r_i = \sum_{1 \leq j \leq n} a_{ij}$  for  $i = 1, \dots, n$ . Then

$$\text{per}(A) \leq \prod_{i=1}^n (r_i!)^{1/r_i}$$

$\text{PerMat}(G) = \text{per}(I(G)) \leq \prod_{i=1}^n (r_i!)^{1/r_i}$ ,  $I(G)$  ..IM. balanced bipartite graph

# The main idea of the proof

## Theorem

(Bregman–Minc inequality 1973) Let  $A = (a_{ij})$  be a binary square matrix, row sum  $r_i = \sum_{1 \leq j \leq n} a_{ij}$  for  $i = 1, \dots, n$ . Then

$$\text{per}(A) \leq \prod_{i=1}^n (r_i!)^{1/r_i}$$

$\text{PerMat}(G) = \text{per}(I(G)) \leq \prod_{i=1}^n (r_i!)^{1/r_i}$ ,  $I(G)$  ..IM. balanced bipartite graph

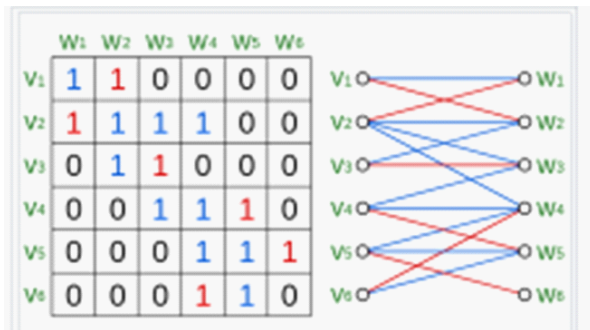
$$\text{PerMat}(G) \leq \prod_{i=1}^n 6^{1/3} = 6^{n/3} \text{ for cubic bipartite graphs}$$



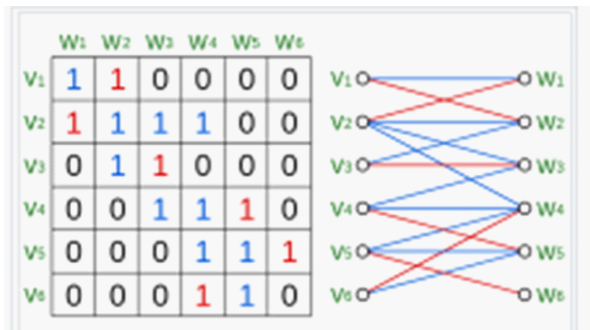


A one-to-one correspondence between a binary square matrices and a simple balanced bipartite graphs. Each term of permanent  $a_{1\sigma(1)} \cdots a_{n\sigma(n)} = 1$  corresponds to a perfect matching, and vice versa.

A one-to-one correspondence between a binary square matrices and a simple balanced bipartite graphs. Each term of permanent  $a_{1\sigma(1)} \cdots a_{n\sigma(n)} = 1$  corresponds to a perfect matching, and vice versa.



A one-to-one correspondence between a binary square matrices and a simple balanced bipartite graphs. Each term of permanent  $a_{1\sigma(1)} \cdots a_{n\sigma(n)} = 1$  corresponds to a perfect matching, and vice versa.





## Theorem

(Noga Alon et al. 2008) For a simple cubic graph  $G$  on  $2n$  vertices,

$$\text{PerMat}(G) \leq 6^{n/3}$$

This bound is tight, attained by the disjoint union of bipartite complete graphs  $K_{3,3}$ .

## Theorem

(Noga Alon et al. 2008) For a simple cubic graph  $G$  on  $2n$  vertices,

$$\text{PerMat}(G) \leq 6^{n/3}$$

This bound is tight, attained by the disjoint union of bipartite complete graphs  $K_{3,3}$ .

As shown above, obvious for balanced bipartite graphs

## Theorem

(Noga Alon et al. 2008) For a simple cubic graph  $G$  on  $2n$  vertices,

$$\text{PerMat}(G) \leq 6^{n/3}$$

This bound is tight, attained by the disjoint union of bipartite complete graphs  $K_{3,3}$ .

As shown above, obvious for balanced bipartite graphs

By a clever trick Noga Alon and Friedland extended Bregman–Minc inequality from bipartite graphs to all simple cubic graphs.

## Theorem

(Noga Alon et al. 2008) For a simple cubic graph  $G$  on  $2n$  vertices,

$$\text{PerMat}(G) \leq 6^{n/3}$$

This bound is tight, attained by the disjoint union of bipartite complete graphs  $K_{3,3}$ .

As shown above, obvious for balanced bipartite graphs

By a clever trick Noga Alon and Friedland extended Bregman–Minc inequality from bipartite graphs to all simple cubic graphs.

Our main theorem was first proved for connected bipartite cubic graphs



## Theorem

(Noga Alon et al. 2008) For a simple cubic graph  $G$  on  $2n$  vertices,

$$\text{PerMat}(G) \leq 6^{n/3}$$

This bound is tight, attained by the disjoint union of bipartite complete graphs  $K_{3,3}$ .

As shown above, obvious for balanced bipartite graphs

By a clever trick Noga Alon and Friedland extended Bregman–Minc inequality from bipartite graphs to all simple cubic graphs.

Our main theorem was first proved for connected bipartite cubic graphs and then the same trick has been used to extend it to all connected cubic graphs.

## Theorem

(Noga Alon et al. 2008) For a simple cubic graph  $G$  on  $2n$  vertices,

$$\text{PerMat}(G) \leq 6^{n/3}$$

This bound is tight, attained by the disjoint union of bipartite complete graphs  $K_{3,3}$ .

As shown above, obvious for balanced bipartite graphs

By a clever trick Noga Alon and Friedland extended Bregman–Minc inequality from bipartite graphs to all simple cubic graphs.

Our main theorem was first proved for connected bipartite cubic graphs and then the same trick has been used to extend it to all connected cubic graphs.

# A formula for $\text{PerMat}(G)$

## Theorem

For a cubic graph  $G$  of order  $2n$ ,

$$\text{PerMat}(G) = \mathbb{E}(X), \text{ the expected value of } X$$

where  $X$  is a random variable defined on the set of all 2-colorings  $c$  on the edges of  $G$ , each coloring equally likely, and  $X(c) = (-3)^{m_c}$ , where  $m_c$  is the number of vertices of  $G$  incident in  $c$  with three edges of the same color.

# A formula for $\text{PerMat}(G)$

## Theorem

For a cubic graph  $G$  of order  $2n$ ,

$$\text{PerMat}(G) = \mathbb{E}(X), \text{ the expected value of } X$$

where  $X$  is a random variable defined on the set of all 2-colorings  $c$  on the edges of  $G$ , each coloring equally likely, and  $X(c) = (-3)^{m_c}$ , where  $m_c$  is the number of vertices of  $G$  incident in  $c$  with three edges of the same color.

Not feasible for practical calculation but might turn out to have a theoretical value.

# "Sketch" of the proof.

# "Sketch" of the proof.

Due to the connection with quantum physics, bra-ket notation

# "Sketch" of the proof.

Due to the connection with quantum physics, bra-ket notation  
2-dimensional real vector space

$$B = \mathbb{R}|0\rangle \oplus \mathbb{R}|1\rangle = \{a|0\rangle + b|1\rangle : a, b \in \mathbb{R}\}$$

# "Sketch" of the proof.

Due to the connection with quantum physics, bra-ket notation  
2-dimensional real vector space

$$B = \mathbb{R}|0\rangle \oplus \mathbb{R}|1\rangle = \{a|0\rangle + b|1\rangle : a, b \in \mathbb{R}\}$$

Let

$$\alpha = |0\rangle \otimes |0\rangle + |1\rangle \otimes |1\rangle \in B \otimes B,$$



## "Sketch" of the proof.

Due to the connection with quantum physics, bra-ket notation  
2-dimensional real vector space

$$B = \mathbb{R}|0\rangle \oplus \mathbb{R}|1\rangle = \{a|0\rangle + b|1\rangle : a, b \in \mathbb{R}\}$$

Let

$$\alpha = |0\rangle \otimes |0\rangle + |1\rangle \otimes |1\rangle \in B \otimes B,$$

and linear map  $\beta$  is given by

$$\beta: B \otimes B \otimes B \rightarrow \mathbb{R},$$

$$|s_1\rangle \otimes |s_2\rangle \otimes |s_3\rangle \mapsto \begin{cases} 1 & \text{there are exactly two 0 among } s_1, s_2, s_3, \\ 0 & \text{otherwise.} \end{cases}$$

where  $s_1, s_2, s_3 \in \{0, 1\}$ , basis of  $B \otimes B \otimes B$ .



Then the evaluation:

Then the evaluation:

$$\beta^{\otimes V(G)}(\alpha^{\otimes E(G)}) = \text{PerMat}(G).$$

Then the evaluation:

$$\beta^{\otimes V(G)}(\alpha^{\otimes E(G)}) = \text{PerMat}(G).$$

Changing basis

$$|x\rangle = \frac{1}{\sqrt{2}}(|0\rangle + |1\rangle), \quad |y\rangle = \frac{1}{\sqrt{2}}(-|0\rangle + |1\rangle).$$

leads to

Then the evaluation:

$$\beta^{\otimes V(G)}(\alpha^{\otimes E(G)}) = \text{PerMat}(G).$$

Changing basis

$$|x\rangle = \frac{1}{\sqrt{2}}(|0\rangle + |1\rangle), \quad |y\rangle = \frac{1}{\sqrt{2}}(-|0\rangle + |1\rangle).$$

leads to

$$\alpha = |x\rangle \otimes |x\rangle + |y\rangle \otimes |y\rangle$$

and the evaluation applies in this case as well.

# Closing remarks

It is counter-intuitive that a unique extremal graph is not 3-connected.

It is counter-intuitive that a unique extremal graph is not 3-connected.

## Conjecture

*Let  $G$  be a simple 3-connected cubic graph on  $2n$  vertices, where  $n \geq 3$ .  
Then*

$$\text{PerMat}(G) \leq f_n + 2f_{n-1} + 2,$$

*with equality attained if and only if  $G$  is isomorphic to the circular ladder graph or the Möbius ladder graph*





Verified by a computer for  $n \leq 9$ .

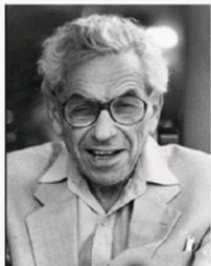
Verified by a computer for  $n \leq 9$ .

The difference between the 3-connected case and the general case is by a small constant.

Verified by a computer for  $n \leq 9$ .

The difference between the 3-connected case and the general case is by a small constant.

Unlike the maximal graphs, the graphs that minimize the number of perfect matchings tend to be complicated



1913 - 1996



1997 -